Transport Layer

CMPS 4750/6750: Computer Networks

Outline

- Overview of transport-layer services
- Connectionless Transport: UDP
- Principles of reliable data transfer
- Connection-Oriented Transport: TCP
- TCP congestion control

Transport services and protocols

- provide *logical communication* between app processes running on different hosts
- transport protocols run in end systems
 - send side: breaks app messages into segments, passes to network layer
 - rcv side: reassembles segments into messages, passes to app layer
- more than one transport protocol available to apps
 - Internet: TCP and UDP



Transport vs. network layer

network layer: logical communication between hosts

transport layer: logical communication between processes

• relies on, enhances, network layer services

Internet transport-layer protocols

- unreliable, unordered delivery: UDP
 - no-frills extension of "best-effort" IP
- reliable, in-order delivery (TCP)
 - connection setup
 - flow control
 - congestion control
- services not available:
 - delay guarantees
 - bandwidth guarantees



Multiplexing/demultiplexing



How demultiplexing works

Port number: 0 – 65535 Well-known port number: 0 - 1023

	host receives	IP datagrams
--	---------------	--------------

- each datagram has source IP address, destination IP address
- each datagram carries one transportlayer segment
- each segment has source, destination port number
- host uses *IP addresses & port numbers* to direct segment to appropriate socket

← 32 bits ───→			
source port #	dest port #		
other header fields			
application data (payload)			

TCP/UDP segment format

Connectionless demultiplexing

- recall: created socket has host-local port #:
 serverSocket =socket(AF_INET,SOCK_DGRAM)
 serverSocket.bind(('', 12000));
- recall: when creating datagram to send into UDP socket, must specify
 - destination IP address
 - destination port #

- when host receives UDP segment:
 - checks destination port # in segment
 - directs UDP segment to socket with that port #

IP datagrams with *same dest. port #,* but different source IP addresses and/or source port numbers will be directed to *same socket* at dest

Connection-oriented demux

```
serverSocket = socket(AF_INET,SOCK_STREAM)
serverSocket.bind(('',12000))
serverSocket.listen(1)
while True:
    connectionSocket, addr = serverSocket.accept()
...
```

- serverSocket waiting for connections identified by IP address and port number
- Other TCP sockets identified by 4-tuple:
 - source IP address, source port number
 - dest IP address, dest port number

Connection-oriented demux: example



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UDP: User Datagram Protocol [RFC 768]

- "no frills," "bare bones" Internet transport protocol
- "best effort" service, UDP segments may be:
 - lost
 - delivered out-of-order to app
- connectionless:
 - no handshaking between UDP sender, receiver
 - each UDP segment handled independently of others

why is there a UDP

- no connection establishment (which can add delay)
- simple: no connection state at sender, receiver
- small header size
- no congestion control: UDP can blast away as fast as desired
- application-specific error recovery
- UDP use:
 - streaming multimedia apps, DNS, SNMP

UDP: segment header



UDP segment format

UDP checksum

Goal: detect "errors" (e.g., flipped bits) in transmitted segment

sender:

- treat segment contents, including header fields, as sequence of 16-bit integers
- checksum: addition (one's complement sum) of segment contents
- sender puts checksum value into UDP checksum field

receiver:

- compute checksum of received segment
- check if computed checksum equals checksum field value:
 - NO error detected
 - YES no error detected. But maybe errors

Internet checksum: example

example: add two 16-bit integers



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Principles of reliable data transfer

- Important in application, transport, link layers
 - top-10 list of important networking topics!



(a) provided service

Principles of reliable data transfer

- Important in application, transport, link layers
 - top-10 list of important networking topics!



Principles of reliable data transfer

- Important in application, transport, link layers
 - top-10 list of important networking topics!



characteristics of unreliable channel determine complexity of reliable data transfer protocol

Reliable data transfer: getting started



Reliable data transfer: getting started

we'll:

- incrementally develop sender, receiver sides of <u>reliable</u> <u>data</u> <u>transfer</u> protocol (rdt)
- consider only unidirectional data transfer
 - but control info will flow on both directions!
- use finite state machines (FSM) to specify sender, receiver



rdt1.0: reliable transfer over a reliable channel

- underlying channel perfectly reliable
 - no bit errors, no loss of packets, no reordering of packets
- separate FSMs for sender, receiver:
 - sender sends data into underlying channel
 - receiver reads data from underlying channel



Potential Channel Errors

- bit errors
- Ioss (drop) of packets
- reordering or duplication

characteristics of unreliable channel determine complexity of reliable data transfer protocol

rdt2.0: channel with bit errors

- underlying channel may flip bits in packet
 - no loss of packets, no reordering of packets
- checksum to detect bit errors
- *the* question: how to recover from errors:
 - *acknowledgements (ACKs):* receiver explicitly tells sender that pkt received OK
 - negative acknowledgements (NAKs): receiver explicitly tells sender that pkt had errors
 - sender retransmits pkt on receipt of NAK
 - Known as ARQ (Automatic Repeat reQuest) protocols
- new mechanisms in rdt2.0 (beyond rdt1.0):
 - error detection
 - feedback: control msgs (ACK,NAK) from receiver to sender

Big Picture of rdt2.0



rdt2.0: FSM specification





rdt2.0: operation with no errors



rdt2.0: error scenario



rdt2.0 is Incomplete!

What happens if ACK/NAK corrupted?

Although sender receives feedback, but doesn't know what happened at receiver!



Handle Control Message Corruption

- It is always harder to deal with control message errors than data message errors
- sender can't just retransmit: possible duplicate
- neither can sender assumes received ok: possible missing packet

Handling duplicates:

sender adds sequence number to each pkt

stop and wait

sender sends one packet, then waits for receiver response

- sender retransmits current pkt if ACK/NAK garbled
- receiver discards (doesn't deliver up) duplicate pkt

rdt2.1: sender, handles garbled ACK/NAKs: Using 1 bit (Alternating-Bit Protocol)



rdt2.1: receiver, handles garbled ACK/NAKs: Using 1 bit



udt send(sndpkt)

rdt2.1: discussion

sender:

state must "remember" whether "current" pkt has seq # of 0 or 1

receiver:

- must check if received packet is duplicate
 - state indicates whether 0 or 1 is expected pkt seq #

rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- Instead of NAK, receiver sends ACK for last pkt received OK
 - receiver must *explicitly* include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: retransmit current pkt

rdt2.2: sender, receiver fragments



rdt3.0: channels with errors and loss

new assumption: underlying channel can also lose packets (data, ACKs)

> checksum, seq. #, ACKs, retransmissions will be of help ... but not enough

<u>approach</u>: sender waits "reasonable" amount of time for ACK

- requires countdown timer
- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
 - retransmission will be duplicate, but seq. #'s already handles this
 - receiver must specify seq # of pkt being ACKed


rdt3.0 in action



rdt3.0 in action





(d) premature timeout/ delayed ACK

A Summary of Questions

• How to improve the performance of rdt3.0?

What if there are reordering and duplication?

• How to determine the "right" timeout value?

rdt3.0: stop-and-wait performance



What is the utilization of sender – fraction of time sender busy sending? Assume: 1 Gbps link, 15 ms prop. delay, 1KB packet

Performance of rdt3.0

- rdt3.0 is correct, but performance stinks
- e.g.: 1 Gbps link, 15 ms prop. delay, 1 KB packet:

$$D_{trans} = \frac{L}{R} = \frac{8000 \text{ bits}}{10^9 \text{ bits/sec}} = 8 \text{ microsecs}$$

U sender: utilization – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

- IKB pkt every 30 msec: 33kB/sec thruput over 1 Gbps link
- network protocol limits use of physical resources!

Pipelining: increased utilization



two generic forms of pipelined protocols: go-Back-N, selective repeat

Go-Back-N Overview



- sender keeps a window of packets
 - window represents a series of consecutive sequence numbers
 - window size *N* : number of un-ACKed packets allowed

cumulative ACKs

- ACK(*n*): acks packets up to and including *n*
- sender may receive duplicate acks

- go-back-N
 - sender keeps a timer for the oldest in-flight packet
 - timeout(n) : retransmit packet n and all higher packets
 - no receiver buffering!

usable, not

not usable

vet sent

GBN: sender extended FSM



GBN: receiver extended FSM



- only state: expectedseqnum
- out-of-order packet:
 - discard: no receiver buffering!
 - re-ACK packet with highest in-order sequence number
 - may generate duplicate ACKs

GBN in action



Selective repeat

- sender keeps a window of packets
 - window represents a series of consecutive sequence numbers
 - window size N: number of un-ACKed packets allowed
 - \Rightarrow same as Go-Back-N
- selective ACKs
 - ACK(*n*): ACKs only sequence number *n*
- selective repeat
 - sender keeps a timer for each packet
 - timeout(*n*) : retransmit packet *n* only
 - receiver must buffer out-of-order packets!

Selective repeat: sender, receiver windows



Selective repeat: sender, receiver windows



(b) receiver view of sequence numbers

Selective repeat

sender

data from above:

 if next available seq # in window, send pkt

timeout(n):

resend pkt n, restart timer

ACK(n) in [sendbase,sendbase+N-1]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

– receiver

pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver buffered in-order pkts, advance window to next notyet-received pkt

pkt n in [rcvbase-N,rcvbase-1]

ACK(n)

otherwise:

ignore

Selective repeat in action



Selective repeat: dilemma

example:

- 2 bit sequence number
- window size=3
- receiver sees no difference in two scenarios!
- Q: how large should sequence space be?



Sliding Window Protocols: Go-back-N vs. Selective Repeat

	Go-back-N	Selective Repeat
data bandwidth: sender to receiver	Less efficient	More efficient
ACK bandwidth (receiver to sender)	More efficient	Less efficient
Relationship between <i>M</i> (the number of seq#) and <i>N</i> (window size)	?	?
Buffer size at receiver	1	N
Complexity	Simpler	More complex

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TCP: Overview RFCs: 793,1122,1323, 2018, 2581

point-to-point:

- one sender, one receiver
- reliable, in-order byte steam:
 - no "message boundaries"

pipelined:

• TCP congestion and flow control set window size

full duplex data:

• bi-directional data flow in same connection

connection-oriented:

- handshaking (exchange of control msgs) inits sender, receiver state before data exchange
- flow controlled:
 - sender will not overwhelm receiver



TCP segment structure



TCP seq. numbers, ACKs

sequence numbers:

 byte stream "number" of first byte in segment's data

acknowledgements:

- seq # of next byte expected from other side
- cumulative ACK



TCP round trip time, timeout

<u>Q</u>: how to set TCP timeout value?

- Ionger than RTT
 - but RTT varies
- too short: premature timeout, unnecessary retransmissions
- too long: slow reaction to segment loss

<u>Q</u>: how to estimate RTT?

- SampleRTT: measured time from segment transmission until ACK receipt
 - ignore retransmissions
- **SampleRTT** will vary, want estimated RTT "smoother"
 - average several *recent* measurements, not just current
 SampleRTT

TCP round trip time, timeout

EstimatedRTT = $(1-\alpha)$ *EstimatedRTT + α *SampleRTT

- exponential weighted moving average (EWMA)
- influence of past sample decreases exponentially fast
- typical value: $\alpha = 0.125$

```
DevRTT = (1-\beta) *DevRTT + \beta *|SampleRTT- EstimatedRTT|
```

```
TimeoutInterval = EstimatedRTT + 4*DevRTT
```

TCP reliable data transfer

- TCP creates reliable data transfer service on top of IP's unreliable service
 - pipelined segments
 - cumulative acks
 - single retransmission timer
- retransmissions triggered by:
 - timeout events
 - duplicate acks

TCP sender events

data rcvd from app:

- create segment with seq #
- seq # is byte-stream number of first data byte in segment
- start timer if not already running
 - think of timer as for oldest unacked segment
 - expiration interval: TimeOutInterval

timeout:

- retransmit segment that caused timeout
- restart timer

ack rcvd:

- if ack acknowledges previously unacked segments
 - update what is known to be ACKed
 - start timer if there are still unacked segments

TCP Receiver ACK generation [RFC 1122, RFC 2581]

event at receiver	TCP receiver action
arrival of in-order segment with expected seq #. All data up to expected seq # already ACKed	delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
arrival of in-order segment with expected seq #. One other segment has ACK pending	immediately send single cumulative ACK, ACKing both in-order segments
arrival of out-of-order segment higher-than-expect seq. # . Gap detected	immediately send <i>duplicate ACK</i> , indicating seq. # of next expected byte
arrival of segment that partially or completely fills gap	immediate send ACK, provided that segment starts at lower end of gap

TCP: retransmission scenarios



lost ACK scenario

premature timeout

TCP: retransmission scenarios



TCP fast retransmit

- time-out period often relatively long:
 - long delay before resending lost packet
- detect lost segments via duplicate ACKs.
 - sender often sends many segments back-to-back
 - if a segment is lost, there will likely be many duplicate ACKs.
- TCP fast retransmit
 - if sender receives 3 duplicates ACKs for same data, resend unacked segment with smallest seq #
 - likely that unacked segment lost, so don't wait for timeout



Flow control

receive side of a connection has a receive buffer:



 app process may be slow at reading from buffer flow control
sender won't overflow
 receiver's buffer by
 transmitting too much,
 too fast

 speed-matching service: matching the send rate to the receiving app's drain rate

TCP flow control



spare room in buffer = rwnd

Receiver:

```
rwnd = RcvBuffer - [LastByteRcvd - LastByteRead]
```

Sender:

```
\texttt{LastByteSent} - \texttt{LastByteAcked} \leq \texttt{rwnd}
```

source port #	dest port #	
sequence number		
acknowledgement number		
head not len used UAPRSF	receive window	
checksum	Urg data pointer	
options (variable length)		
application data (variable length)		

Connection Management

2-way handshake failure scenarios:

Connection Management

2-way handshake failure scenarios:



TCP 3-way handshake


TCP: closing a connection



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Principles of congestion control

congestion:

- Informally: "too many sources sending too much data too fast for network to handle"
- different from flow control!
- manifestations:
 - lost packets (buffer overflow at routers)
 - long delays (queueing in router buffers)
- a top-10 problem!

two senders, two receivers
one router, infinite buffer
router link capacity: R
no retransmission



- one router, *finite* buffer
- sender retransmits timed-out packets
 - transport-layer input includes *retransmissions*: $\lambda'_{in} \geq \lambda_{in}$



Idealization: perfect sender

- sender sends only when router's buffer has free space
- $\lambda'_{\rm in} = \lambda_{\rm in}$

Idealization: known loss

- packets can be lost, dropped at router due to full buffer
- sender only resends if packet known to be lost
- cost of congestion: more work (retrans) for given "goodput", $\lambda'_{in} > \lambda_{out}$

Realistic: duplicates

- packets can be lost and sender may time out prematurely
- cost of congestion: unneeded retransmissions (link carries multiple copies of pkt)



- four senders
- multi-hop paths
- timeout/retransmit
- all router links have capacity R



- four senders
- multi-hop paths
- timeout/retransmit
- all router links have capacity R

<u>Q</u>: what happens as λ_{in} and λ'_{in} increase ?

A: as B-D λ_{in} increases, all arriving A-C pkts are dropped at R2, A-C throughput goes to 0



- four senders
- multi-hop paths
- timeout/retransmit

<u>Q</u>: what happens as λ_{in} and λ'_{in} increase ?

A: as B-D λ_{in} increases, all arriving A-C pkts are dropped at R2, A-C throughput goes to 0





another "cost" of congestion:

when packet dropped, any "upstream" transmission capacity used for that packet was wasted!

Approaches to Congestion Control

End-to-end approach

- No explicit feedback from the network layer
- Indicators of network congestion
 - packet loss: indicated by time out or three duplicate ACKs
 - increasing round-trip delay
- Implemented by TCP
- Network-assisted approach
 - Routers provide explicit feedback
 - Explicit Congestion Notification (ECN) has been proposed as extensions to TCP and IP

TCP Congestion Control

- End-to-end approach
- Have each sender limit the transmission rate as a function of perceived network congestion
 - How to limit rate
 - How to perceive congestion
 - What algorithm to change rate

Sender's congestion window

A "loss event": either a timeout or the receipt of three duplicate ACKs

rate Additive increase, multiplicative decrease (AIMD)

TCP Congestion Control: Congestion Window



sender limits transmission:

TCP sending rate:

 roughly: send cwnd bytes, wait RTT for ACKs, then send more bytes

rate
$$\approx \frac{\text{cwnd}}{\text{RTT}}$$
 bytes/sec

LastByteSent - LastByteAcked $\leq min{cwnd, rwnd}$

cwnd is dynamic, function of perceived network congestion

TCP Congestion Control: Self-Clocking

- A loss event as an indication of congestion
 - either a timeout or the receipt of three duplicate ACKs
- Arrival of ACKs of previously unacked segments as an indication that all is well
 - Increase congestion window size (and transmission rate) more quickly if ACKs arrive at a high rate

TCP Congestion Control: AIMD

- approach: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
 - *additive increase:* increase **cwnd** by 1 MSS (maximum segment size) every RTT until loss detected
 - *multiplicative decrease*: cut **cwnd** in half after loss



Slow Start

- when connection begins, increase rate exponentially until first loss event:
 - initially cwnd = 1 MSS
 - double **cwnd** every RTT
 - done by incrementing **cwnd** by 1 MSS every time a segment is first ACKed
- summary: initial rate is slow but ramps up exponentially fast



Congestion Avoidance

- Ioss indicated by timeout:
 - set **ssthresh** = **cwnd**/2, **cwnd** = 1 MSS
 - window then grows exponentially (as in slow start) to ssthresh
 - window then grows linearly (congestion avoidance)
 - increase **cwnd** by 1 MSS every RTT
 - done by incrementing cwnd by MSS × (MSS/cwnd) bytes for every new ACK received

Fast Recovery

- Ioss indicated by 3 duplicate ACKs:
 - dup ACKs indicate network capable of delivering some segments
 - set ssthresh = cwnd/2, cwnd = cwnd/2 + 3 MSS
 - then increase **cwnd** by 1 MSS for every **duplicate** ACK received
 - when an ACK arrives for the missing segment, set cwnd = ssthresh, enters congestion avoidance
 - Implemented in TCP Reno
- TCP Tahoe always sets cwnd to 1 (timeout or 3 duplicate acks)

Fast Recovery: Example



See Figure 7.9 in Jean Walrand and Shyam Parekh, Communication Networks: A Concise Introduction

Summary: TCP Congestion Control



TCP throughput

- avg. TCP thruput as function of window size, RTT?
 - ignore slow start, assume always data to send
- W: window size (measured in bytes) when loss occurs
 - When loss occurs, window is cut in half and then increases by MSS every RTT until it again reaches W
 - avg. window size is 0.75 W

• avg TCP thruput =
$$\frac{0.75W}{RTT}$$
 bytes/sec





fairness goal: if K TCP sessions share same bottleneck link of bandwidth R, each should have average rate of R/K



Why is TCP fair?

two competing sessions with same MSS and RTT:

- additive increase gives slope of 1, as throughout increases
- multiplicative decrease decreases throughput proportionally



Summary

- Transport-layer services
 - service model, multiplexing/demultiplexing
- Connectionless Transport: UDP
 - checksum
- Principles of reliable data transfer
 - rdt 3.0, GBN, SR
- Connection-Oriented Transport: TCP
 - reliable data transfer, flow control, connection setup
- TCP congestion control
 - TCP Reno, throughput, fairness