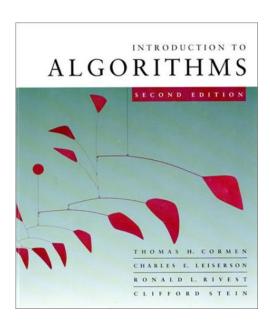


### **CS 5633 -- Spring 2010**



# Dynamic Programming

### Carola Wenk

Slides courtesy of Charles Leiserson with small changes by Carola Wenk



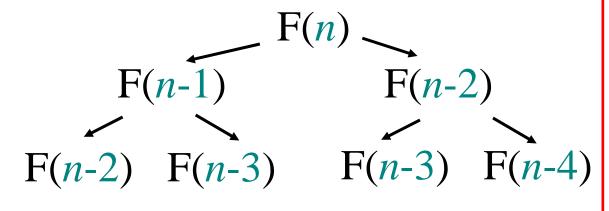
# Dynamic programming

- Algorithm design technique
- A technique for solving problems that have
  - overlapping subproblems
  - and, when used for optimization, have an optimal substructure property
- Idea: Do not repeatedly solve the same subproblems, but solve them only once and store the solutions in a dynamic programming table



## **Example: Fibonacci numbers**

- F(0)=0; F(1)=1; F(n)=F(n-1)+F(n-2) for  $n \ge 2$
- Implement this recursion naively:



Solve same subproblems many times!

Runtime is exponential in *n*.

• Store 1D DP-table and fill bottom-up in O(n) time:



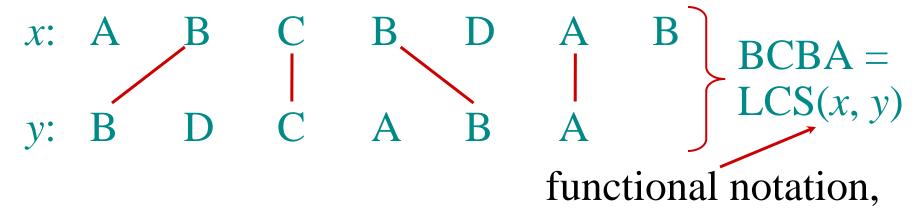


### **Longest Common Subsequence**

### Example: Longest Common Subsequence (LCS)

• Given two sequences x[1 ...m] and y[1 ...n], find a longest subsequence common to them both.

"a" *not* "the"



but not a function



# **Brute-force LCS algorithm**

Check every subsequence of x[1 ...m] to see if it is also a subsequence of y[1 ...m].

### **Analysis**

- $2^m$  subsequences of x (each bit-vector of length m determines a distinct subsequence of x).
- Hence, the runtime would be exponential!



## Towards a better algorithm

### **Two-Step Approach:**

- 1. Compute the *length* of a longest-common subsequence.
- 2. Extend the algorithm to find an LCS.

**Notation:** Denote the length of a sequence s by |s|.

**Strategy:** Consider *prefixes* of x and y.

- Define c[i, j] = |LCS(x[1 ... i], y[1 ... j])|.
- Then, c[m, n] = |LCS(x, y)|.

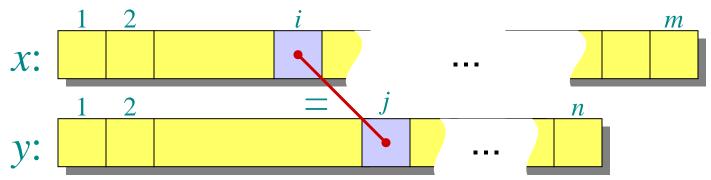


## Recursive formulation

### Theorem.

$$c[i,j] = \begin{cases} c[i-1,j-1] + 1 & \text{if } x[i] = y[j], \\ \max\{c[i-1,j], c[i,j-1]\} & \text{otherwise.} \end{cases}$$

*Proof.* Case x[i] = y[j]:



Let z[1 ... k] = LCS(x[1 ... i], y[1 ... j]), where c[i, j] = k. Then, z[k] = x[i], or else z could be extended. Thus, z[1 ... k-1] is CS of x[1 ... i-1] and y[1 ... j-1].

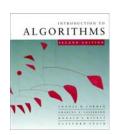


## **Proof (continued)**

Claim: z[1 ... k-1] = LCS(x[1 ... i-1], y[1 ... j-1]). Suppose w is a longer CS of x[1 ... i-1] and y[1 ... j-1], that is, |w| > k-1. Then, cut and paste:  $w \mid\mid z[k]$  (w concatenated with z[k]) is a common subsequence of x[1 ... i] and y[1 ... j] with  $|w| \mid z[k] \mid > k$ . Contradiction, proving the claim.

Thus, c[i-1, j-1] = k-1, which implies that c[i, j] = c[i-1, j-1] + 1.

Other cases are similar.



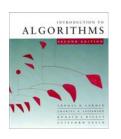
# Dynamic-programming hallmark #1

### Optimal substructure

An optimal solution to a problem (instance) contains optimal solutions to subproblems.



If z = LCS(x, y), then any prefix of z is an LCS of a prefix of x and a prefix of y.



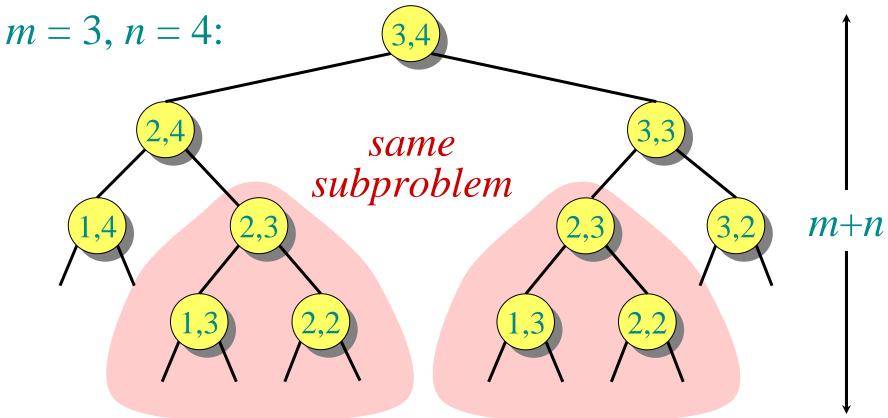
## Recursive algorithm for LCS

```
LCS(x, y, i, j)
if x[i] = y[j]
then c[i, j] \leftarrow LCS(x, y, i-1, j-1) + 1
else c[i, j] \leftarrow max \{ LCS(x, y, i-1, j), LCS(x, y, i, j-1) \}
```

Worst-case:  $x[i] \neq y[j]$ , in which case the algorithm evaluates two subproblems, each with only one parameter decremented.



### **Recursion tree**



Height =  $m + n \Rightarrow$  work potentially exponential, but we're solving subproblems already solved!



# Dynamic-programming hallmark #2

### Overlapping subproblems

A recursive solution contains a "small" number of distinct subproblems repeated many times.

The number of distinct LCS subproblems for two strings of lengths m and n is only mn.



# **Dynamic-programming**

There are two variants of dynamic programming:

- 1. Memoization
- 2. Bottom-up dynamic programming (often referred to as "dynamic programming")



## Memoization algorithm

*Memoization:* After computing a solution to a subproblem, store it in a table. Subsequent calls check the table to avoid redoing work.

```
for all i, j: c[i,0]=0 and c[0,j]=0

LCS(x, y, i, j)

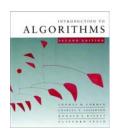
if c[i, j] = NIL

then if x[i] = y[j]

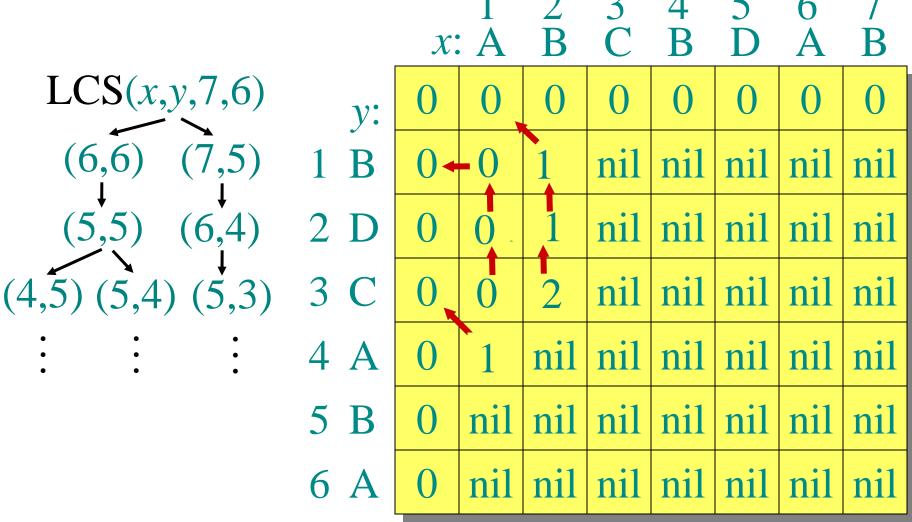
then c[i, j] \leftarrow LCS(x, y, i-1, j-1) + 1

else c[i, j] \leftarrow max \{ LCS(x, y, i-1, j), LCS(x, y, i, j-1) \}
```

Time =  $\Theta(mn)$  = constant work per table entry. Space =  $\Theta(mn)$ .



### Memoization



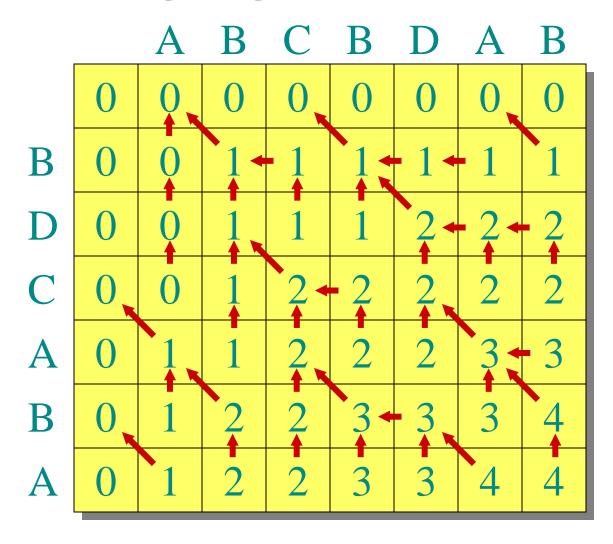


# Bottom-up dynamicprogramming algorithm

### **IDEA:**

Compute the table bottom-up.

Time =  $\Theta(mn)$ .





# Bottom-up dynamicprogramming algorithm

#### **IDEA:**

Compute the table bottom-up.

Time =  $\Theta(mn)$ .

Reconstruct LCS by backtracing.

Space =  $\Theta(mn)$ .

Exercise:

 $O(\min\{m,n\}).$ 

