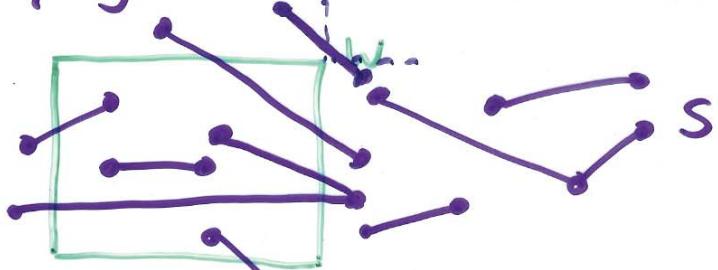


## Windowing Problem

Given: A set  $S$  of  $n$  line segments in the plane  
(non-intersecting)

Task: Process  $S$  into a data structure such that the following windowing query can be answered efficiently:  
Report all segments in  $S$  that intersect a given query window  $W := [x_1, x'_1] \times [y_1, y'_1]$

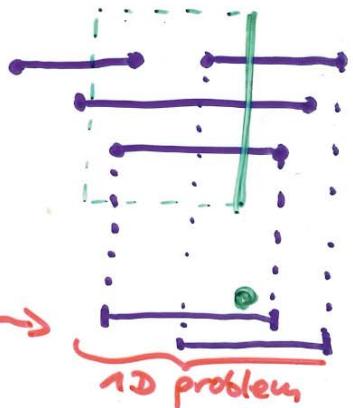


Segments having at least one endpoint in  $W$  can be found by range queries in range trees  
 $\rightarrow O(\log n + k)$  time (with fractional cascading)

### Subproblem I (for horizontal segments)

Process a set of horizontal line segments s.t. segments intersecting a vertical query segment can be reported efficiently.

$\rightarrow$  Consider query line instead of segment  $\rightarrow$



### Subproblem II (1 dimensional):

Given: A set  $I = \{[x_1, x'_1], \dots, [x_n, x'_n]\}$  of intervals in  $\mathbb{R}$

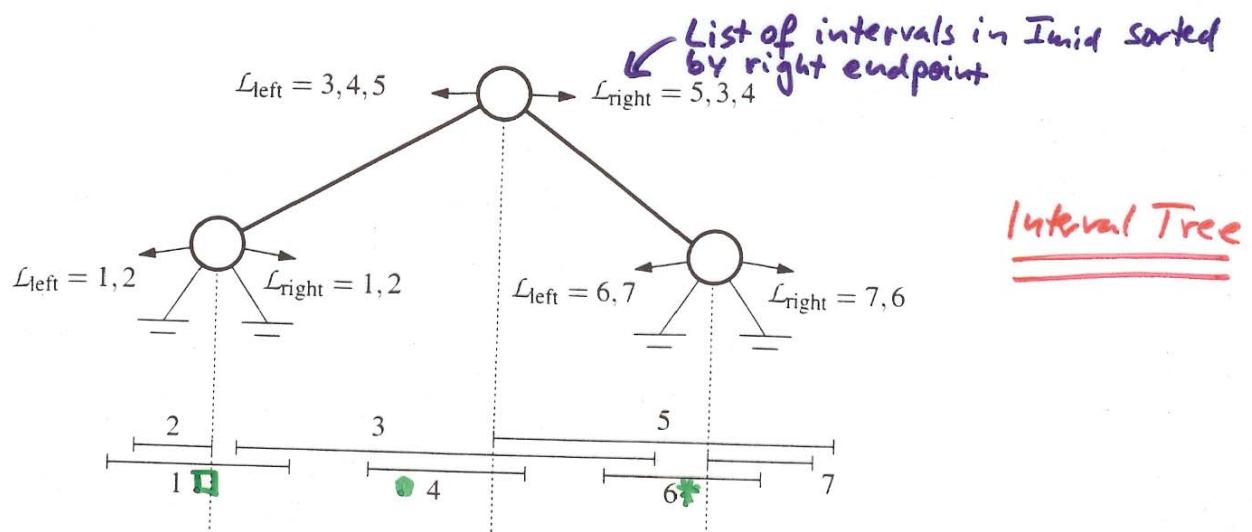
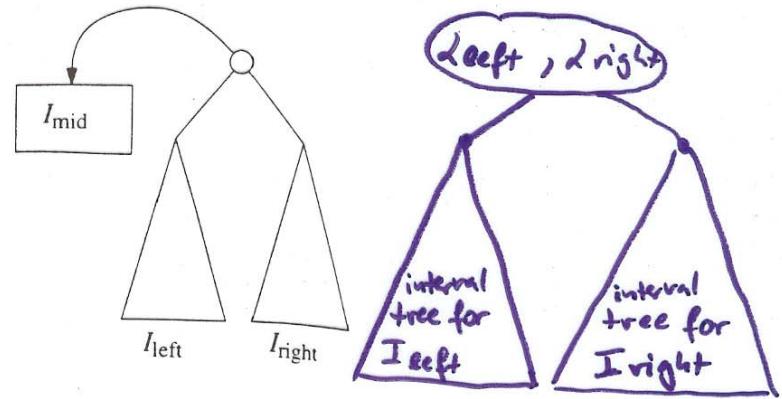
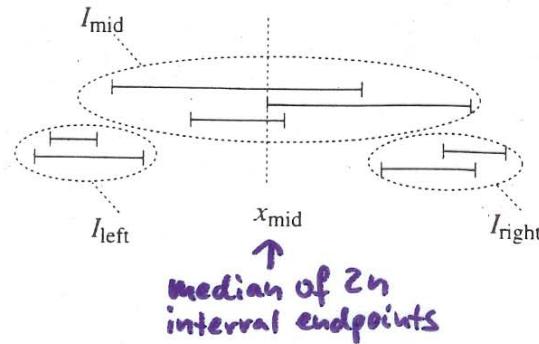
Task: Process  $I$  into a data structure which supports queries of the type: Report all intervals that contain a query point

$\rightarrow$  Interval trees

$\rightarrow$  Segment trees

## Interval Trees

$$I = I_{\text{left}} \cup I_{\text{mid}} \cup I_{\text{right}}$$



Lemma: An interval tree on a set of  $n$  intervals uses  $O(n)$  storage and has depth  $O(\log n)$ .

Proof: Each interval is stored in a set  $I_{\text{mid}}$  only once  $\rightarrow O(n)$  storage.

Algorithm CONSTRUCTINTERVALTREE( $I$ )

*Input.* A set  $I$  of intervals on the real line.

*Output.* The root of an interval tree for  $I$ .

1. if  $I = \emptyset$
2. then return an empty leaf
3. else Create a node  $v$ . Compute  $x_{\text{mid}}$ , the median of the set of interval endpoints, and store  $x_{\text{mid}}$  with  $v$ .
4. Compute  $I_{\text{mid}}$  and construct two sorted lists for  $I_{\text{mid}}$ : a list  $L_{\text{left}}(v)$  sorted on left endpoint and a list  $L_{\text{right}}(v)$  sorted on right endpoint. Store these two lists at  $v$ .
5.  $lc(v) \leftarrow \text{CONSTRUCTINTERVALTREE}(I_{\text{left}})$
6.  $rc(v) \leftarrow \text{CONSTRUCTINTERVALTREE}(I_{\text{right}})$
7. return  $v$

Time analysis:  $O(|I| + |I_{\text{mid}}| \cdot \log |I_{\text{mid}}|)$  per vertex  $\Rightarrow O(n \log n)$

**Algorithm** QUERYINTERVALTREE( $v, q_x$ )*Input.* The root  $v$  of an interval tree and a query point  $q_x$ .*Output.* All intervals that contain  $q_x$ .

1. **if**  $v$  is not a leaf
2.   **then if**  $q_x < x_{\text{mid}}(v)$
3.     **then** Walk along the list  $\mathcal{L}_{\text{left}}(v)$ , starting at the interval with the leftmost endpoint, reporting all the intervals that contain  $q_x$ . Stop as soon as an interval does not contain  $q_x$ .
4.     QUERYINTERVALTREE( $lc(v), q_x$ )
5.   **else** Walk along the list  $\mathcal{L}_{\text{right}}(v)$ , starting at the interval with the rightmost endpoint, reporting all the intervals that contain  $q_x$ . Stop as soon as an interval does not contain  $q_x$ .
6.     QUERYINTERVALTREE( $rc(v), q_x$ )

Time analysis:

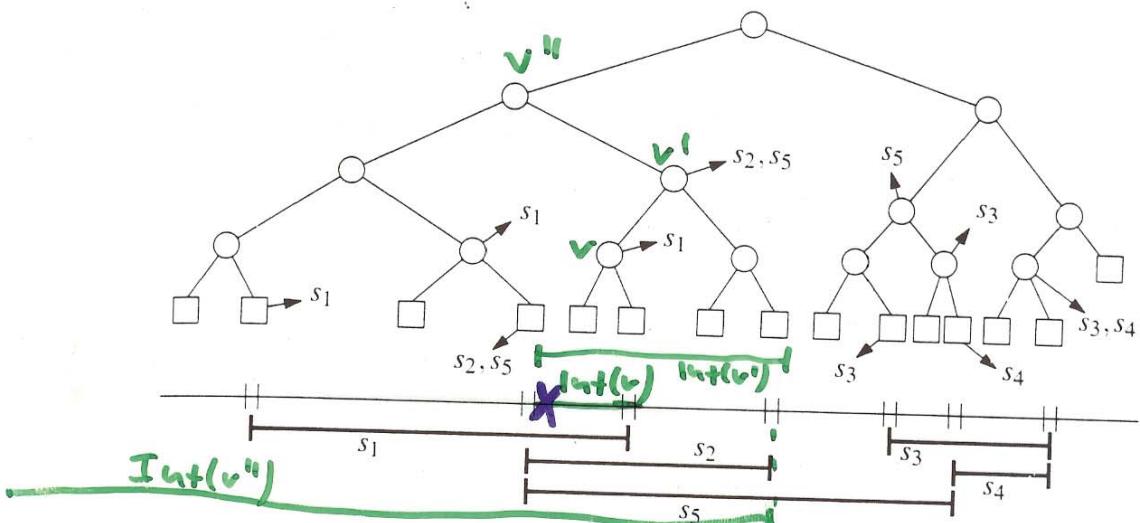
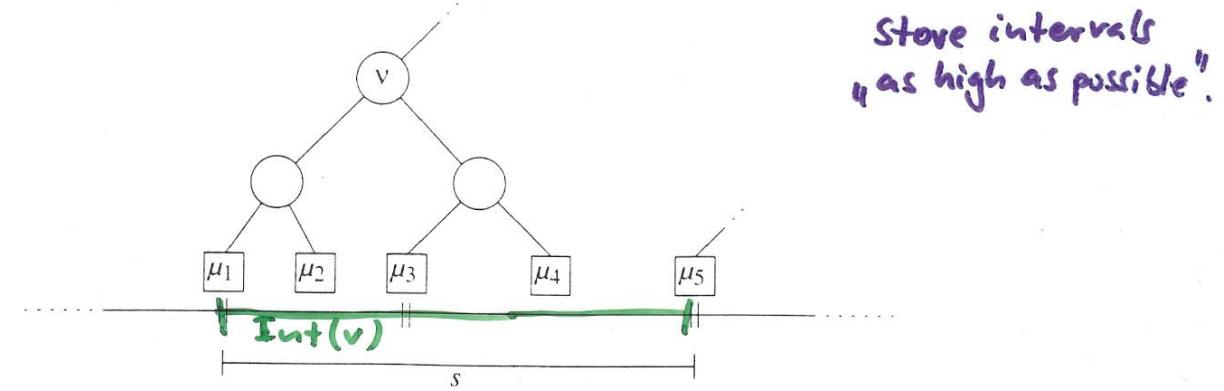
- $O(1 + k_v)$  time at vertex  $v$ ;  $k_v := \# \text{intervals reported at } v$
  - Visit  $\leq 1$  node at any depth
- $\rightarrow O(\log n + k)$

Theorem: An interval tree for a set of  $n$  intervals ~~in the~~  
~~can be constructed in~~ can be constructed in  $O(n \log n)$  time and uses  $O(n)$  storage.  
All intervals that contain a query point can be reported in  $O(\log n + k)$  time;  $k = \# \text{reported intervals}$ .

## Segment Trees

Let  $p_1, p_2, \dots, p_m$  the sorted list of distinct interval endpoints of  $I$   
 → Consider partitioning into elementary intervals  
 $(-\infty, p_1], [p_1, p_2], (p_2, p_3], \dots, (p_{m-1}, p_m], [p_m, p_m], (p_m, \infty)$

- Balanced binary search tree  $T$  with leaves corresponding to elementary intervals
  - $\text{Int}(\mu) :=$  elementary interval corresponding to leaf  $\mu$ ,  
 $\text{Int}(v) :=$  union of  $\text{Int}(\mu)$  of all leaves in subtree rooted at  $v$
  - Each node or leaf  $v$  stores
    - $\text{Int}(v)$
    - the canonical subset  $I(v) \subseteq I$  :
- $$I(v) := \{(x, x') \in I \mid \text{Int}(v) \subseteq [x, x'] \text{ and } \text{Int}(\text{parent}(v)) \not\subseteq [x, x']\}$$



Lemma: A segment tree on  $n$  intervals uses  $O(n \log n)$  storage

Proof idea: Any interval is stored in a set  $I(v)$  for at most two nodes at the same level of  $T$ .

**Algorithm QUERYSEGMENTTREE( $v, q_x$ )**

*Input.* The root of a (subtree of a) segment tree and a query point  $q_x$ .

*Output.* All intervals in the tree containing  $q_x$ .

1. Report all the intervals in  $I(v)$ .
2. if  $v$  is not a leaf
3.     then if  $q_x \in \text{Int}(lc(v))$
4.         then QUERYSEGMENTTREE( $lc(v), q_x$ )
5.         else QUERYSEGMENTTREE( $rc(v), q_x$ )

Time analysis: • Visit one node per level }  $O(\log n + k)$  time  
 • Spend  $O(1 + k_v)$  per node  $v$

Segment-Tree Construction:

- 1) Sort interval endpoints of  $I \rightsquigarrow$  elementary intervals }  $O(n \log n)$
- 2) Construct balanced bin. search tree on elem. intervals }  $O(n \log n)$
- 3) Determine  $\text{Int}(v)$  bottom-up }  $O(n)$
- 4) Compute Canonical subsets by incrementally inserting  
the intervals  $[x, x'] \in I$  into  $T$ , using Insert Segment Tree

**Algorithm INSERTSEGMENTTREE( $v, [x : x']$ )**

*Input.* The root of a (subtree of a) segment tree and an interval.

*Output.* The interval will be stored in the subtree.

1. if  $\text{Int}(v) \subseteq [x : x']$
2.     then store  $[x : x']$  at  $v$
3.     else if  $\text{Int}(lc(v)) \cap [x : x'] \neq \emptyset$
4.         then INSERTSEGMENTTREE( $lc(v), [x : x']$ )
5.         if  $\text{Int}(rc(v)) \cap [x : x'] \neq \emptyset$
6.             then INSERTSEGMENTTREE( $rc(v), [x : x']$ )

Time analysis: • Spend constant time per node  
 • If we don't store  $[x, x']$  at  $v$ , then  $x \in \text{Int}(v)$  or  $x' \in \text{Int}(v)$   
 • Each interval stored  $\leq$  twice at each level.  
 At most one node per level whose interval contains  $x$  (similar for  $x'$ )  
 $\rightsquigarrow$  Visit  $\leq 4$  nodes per level  $\Rightarrow O(\log n) \Rightarrow O(n \log n)$  together.

Theorem: A segment tree for a set of  $n$  intervals can be built in  $O(n \log n)$  time and uses  $O(n \log n)$  storage.  
 All intervals that contain a query point can be reported in  $O(\log n + k)$  time.

## 2D Windowing Revisited

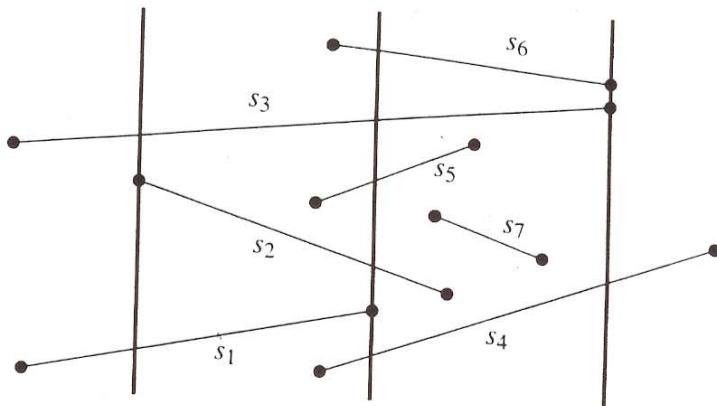
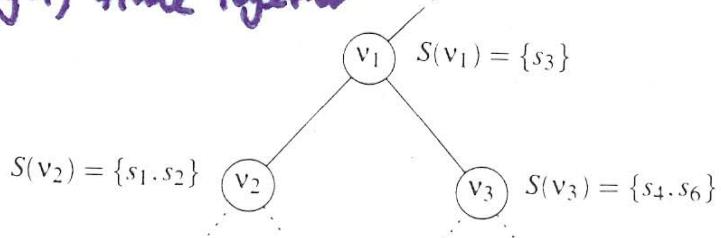
Given: A set  $S$  of  $n$  disjoint segments in the plane

Task: Process  $S$  into a data structure such that all segments intersecting a vertical query segment  $q := q_x \times [q_y, q'_y]$  can be reported efficiently

- Build segment tree  $T$  based on  $x$ -intervals of segments in  $S$   
 $\rightarrow$  each  $\text{Int}(v) \equiv \text{Int}(v)_x \times (-\infty, \infty)$  vertical slab
- $I(v) \subseteq S(v)$  canonical subset of segments spanning vertical slab

Analysis:

- Store  $S(v)$  in binary search tree  $T(v)$  based on vertical order of segments
- Storage  $O(n \log n)$
- Bottom-up construction maintaining vertical order of segments  
 $\rightarrow O(n \log n)$  time together



### Query algorithm:

- Search regularly for  $q_x$  in  $T$
- In every visited node  $v$  report segments in  $T(v)$  between  $q_y$  and  $q'_y$  (1D range query)  
 $\rightarrow O(\log n + k_v)$  time for  $T(v)$   $\rightarrow O(\log^2 n + k)$  altogether

Theorem: Let  $S$  be a set of (interior-) disjoint segments in  $\mathbb{R}^2$ .

The segments intersecting a vertical query segment (or an axis-parallel rectangular query window) can be reported in  $O(\log^2 n + k)$  time, with  $O(n \log n)$  preprocessing time and  $O(n \log n)$  storage.