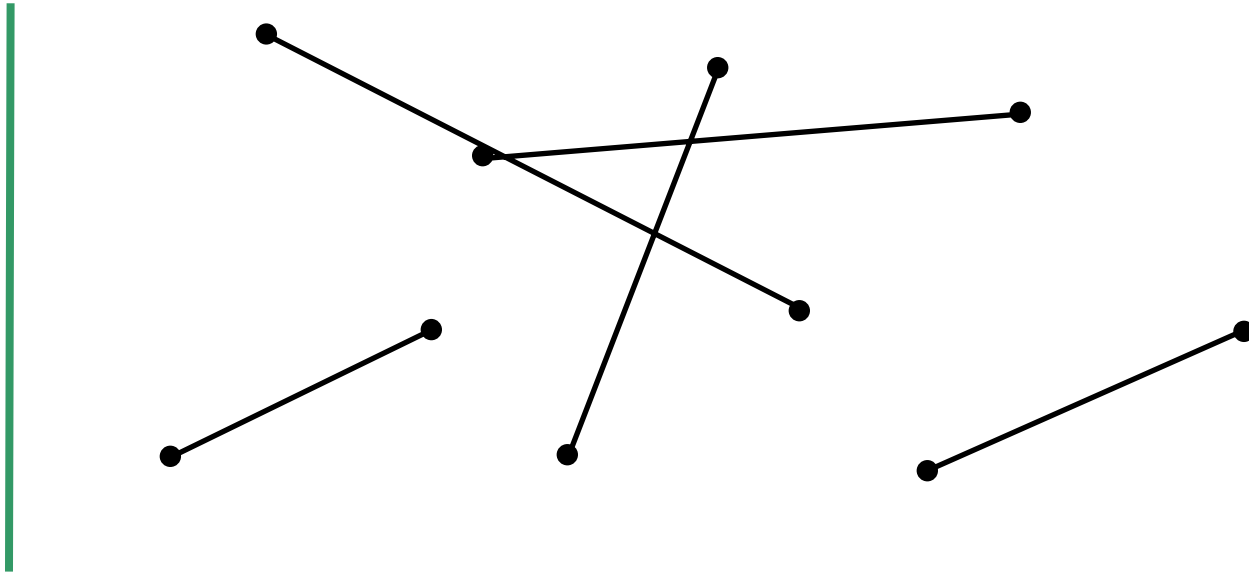


# CS 6463: AT Computational Geometry

## Fall 2010

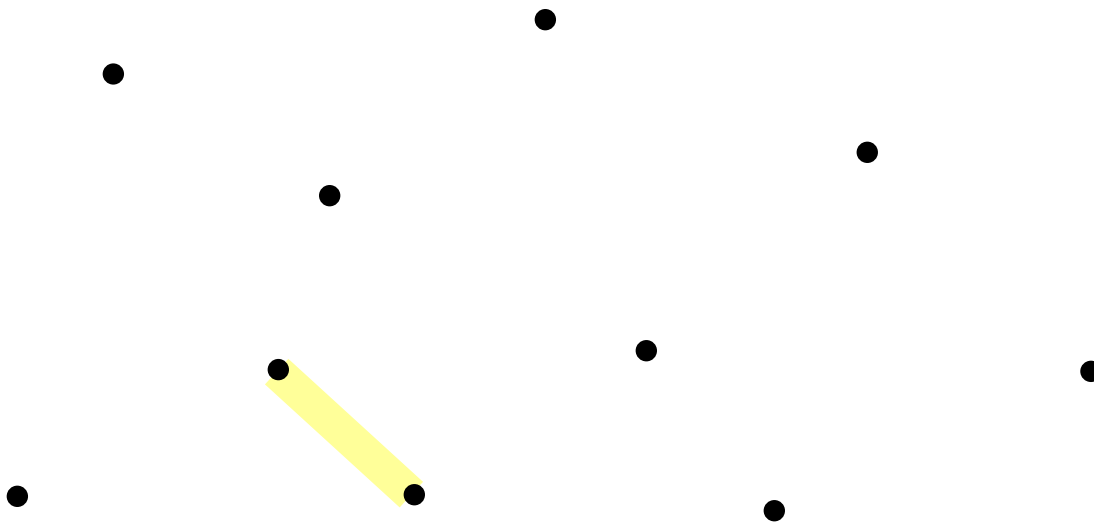


## *Plane Sweep Algorithms and Segment Intersection*

**Carola Wenk**

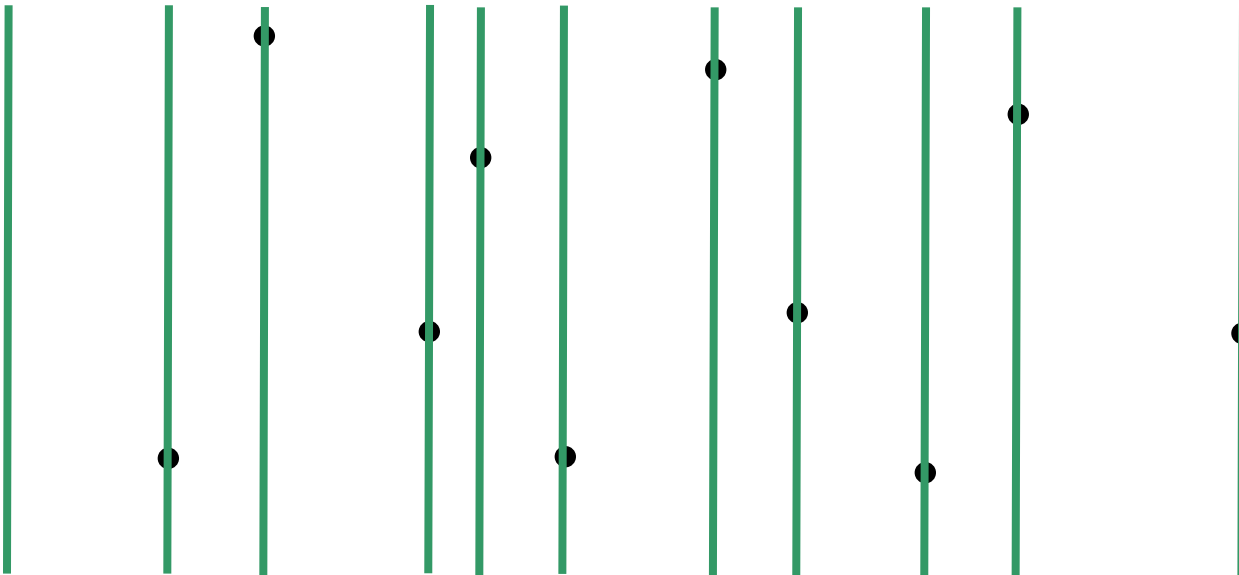
# Closest Pair

- **Problem:** Given  $P \subseteq \mathbb{R}^2$ ,  $|P|=n$ , find the distance between the closest pair in  $P$



# Plane Sweep: An Algorithm Design Technique

- Simulate sweeping a vertical line from left to right across the plane.
- Maintain **cleanliness property**: At any point in time, to the left of sweep line everything is clean, i.e., properly processed.
- **Sweep line status**: Store information along sweep line
- **Events**: Discrete points in time when sweep line status needs to be updated



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**Algorithm** Generic\_Plane\_Sweep:

Initialize **sweep line status**  $S$  at time  $x=-\infty$

Store initial events in **event queue**  $Q$ , a priority queue ordered by  $x$ -coordinate

while  $Q \neq \emptyset$

    // extract next event  $e$ :

$e = Q.\text{extractMin}()$ ;

    // handle event:

    Update sweep line status

    Discover new upcoming events and insert them into  $Q$

# Plane sweep for Closest Pair

Algorithm `Generic_Plane_Sweep`:

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        Update sweep line status

        Discover new upcoming events and insert them into  $Q$

- **Problem:** Given  $P \subseteq \mathbb{R}^2$ ,  $|P|=n$ , find the distance of the closest pair in  $P$
- **Sweep line status:**
  - Store current distance  $\Delta$  of closest pair of points to the left of sweep line
  - Store points in  $\Delta$ -strip left of sweep line
  - Store pointer to leftmost point in strip
- **Events:** All points in  $P$ . No new events will be added during the sweep.  
→ Presort  $P$  by  $x$ -coordinate.

*Cleanliness property*

# Plane sweep for Closest Pair, II

## Algorithm `Generic_Plane_Sweep`:

Initialize **sweep line status**  $S$  at time  $x=-\infty$

Store initial events in **event queue**  $Q$ , a priority queue ordered by  $x$ -coordinate  
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    // handle event:

        Update sweep line status

        Discover new upcoming events and insert them into  $Q$

$O(n \log n)$

- Presort  $P$  by  $x$ -coordinate
- How to store points in  $\Delta$ -strip?
  - Store points in  $\Delta$ -strip left of sweep line in a balanced binary search tree, ordered by  $y$ -coordinate  
→ Add point, delete point, and search in  $O(\log n)$  time

- **Event handling:**

- New event: Sweep line advances to point  $p \in P$
- Update sweep line status:
  - Delete points outside  $\Delta$ -strip from search tree by using previous leftmost point in strip and  $x$ -order on  $P$
  - Compute candidate points that may have distance  $\leq \Delta$  from  $p$ :
    - Perform a search in the search tree to find points in  $\Delta$ -strip whose  $y$ -coordinates are at most  $\Delta$  away from  $p.y$ .  
→  $\Delta \times 2\Delta$  box
    - Because of the cleanliness property each pair of these points has distance  $\leq \Delta$ .  
→ A  $\Delta \times 2\Delta$  box can contain at most 6 such points.
  - Check distance of these points to  $p$ , and possibly update  $\Delta$
- No new events necessary to discover

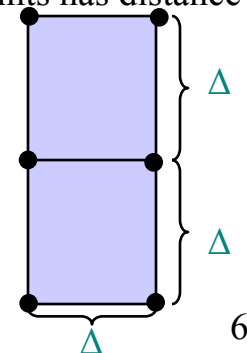
$O(n \log n)$  total

$O(n \log n + 6n)$  total

$O(6n)$  total

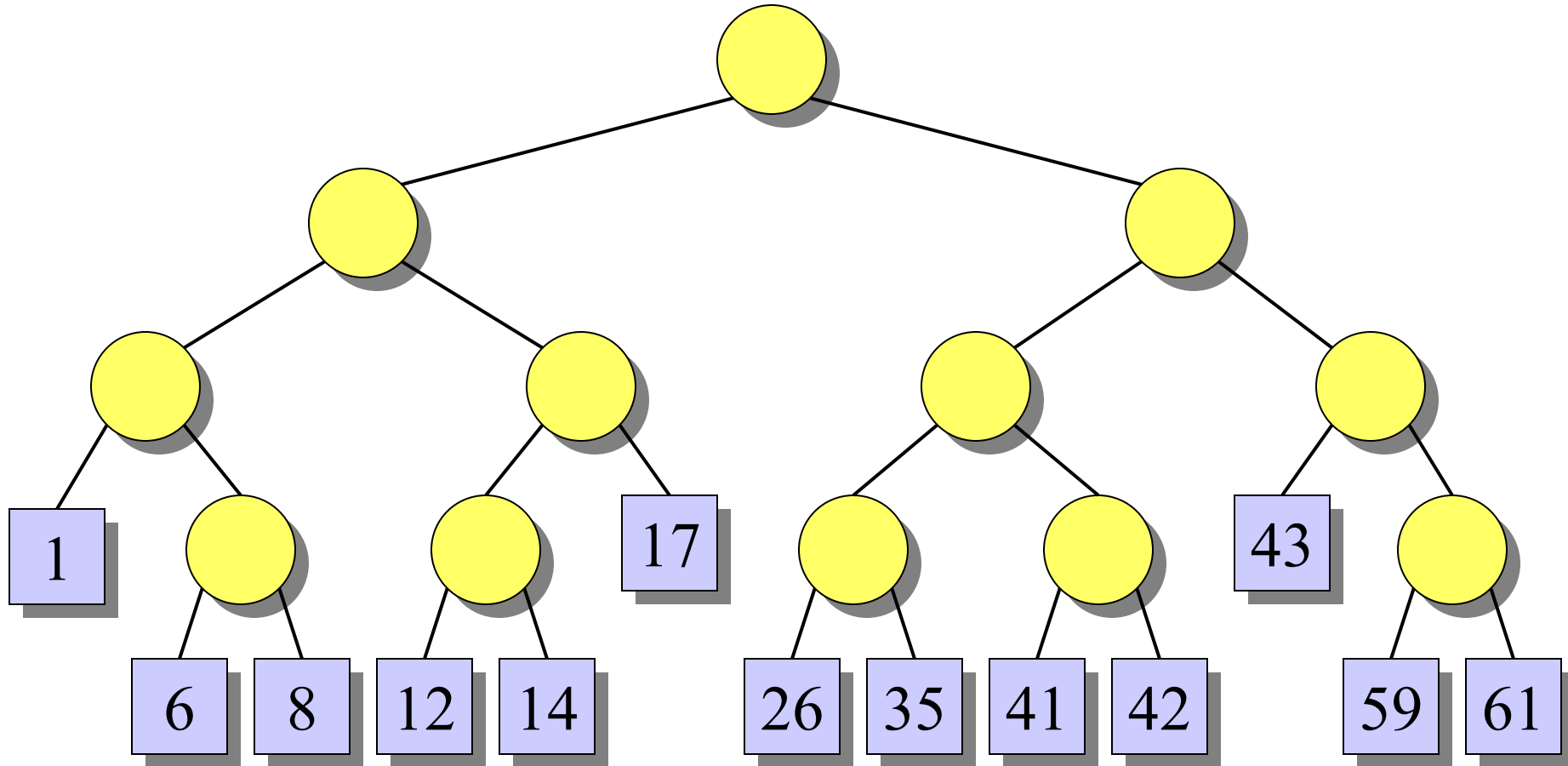
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Total runtime:  $O(n \log n)$



# Balanced Binary Search Tree

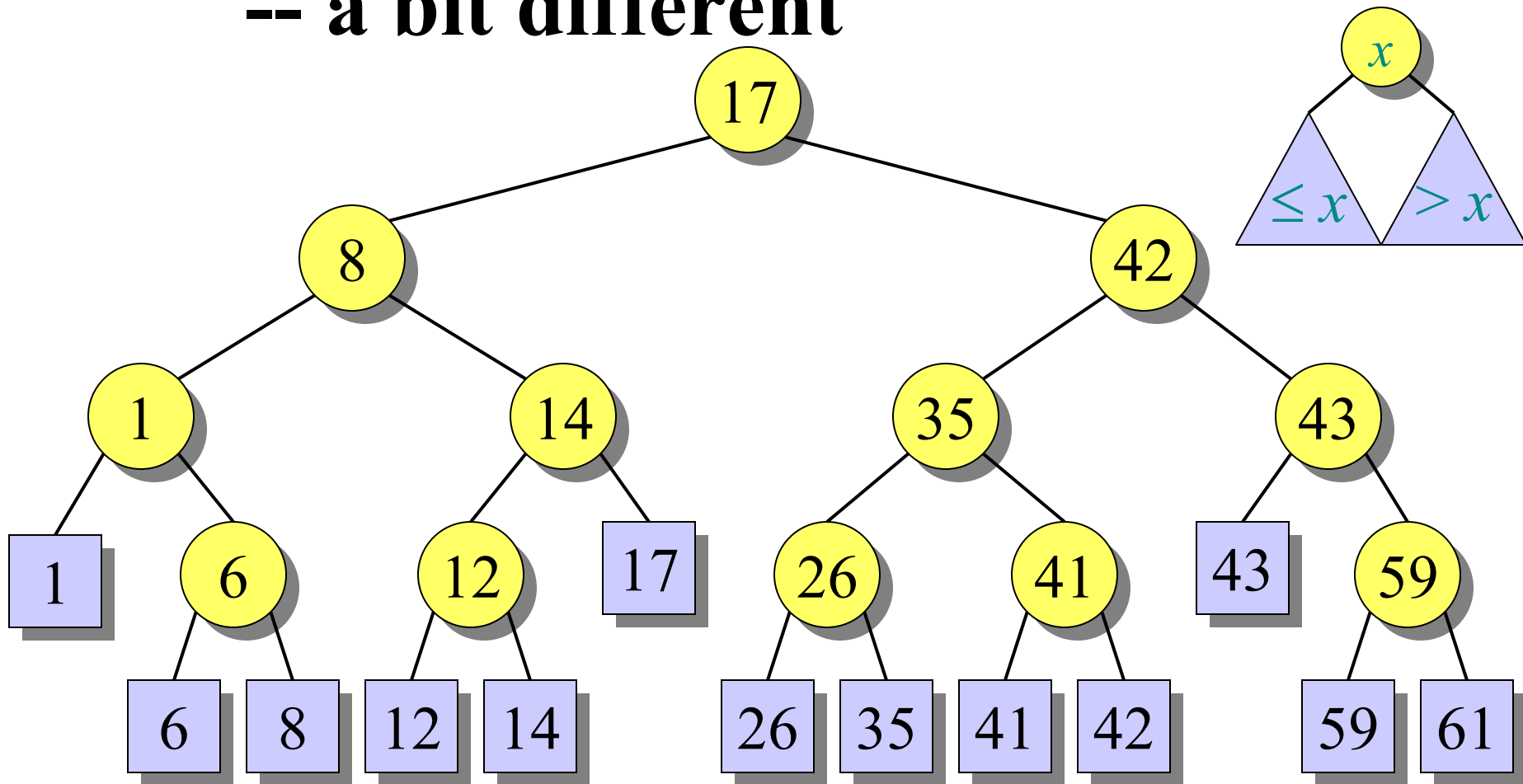
## -- a bit different



$key[x]$  is the maximum key of any leaf in the left subtree of  $x$ .

# Balanced Binary Search Tree

## -- a bit different

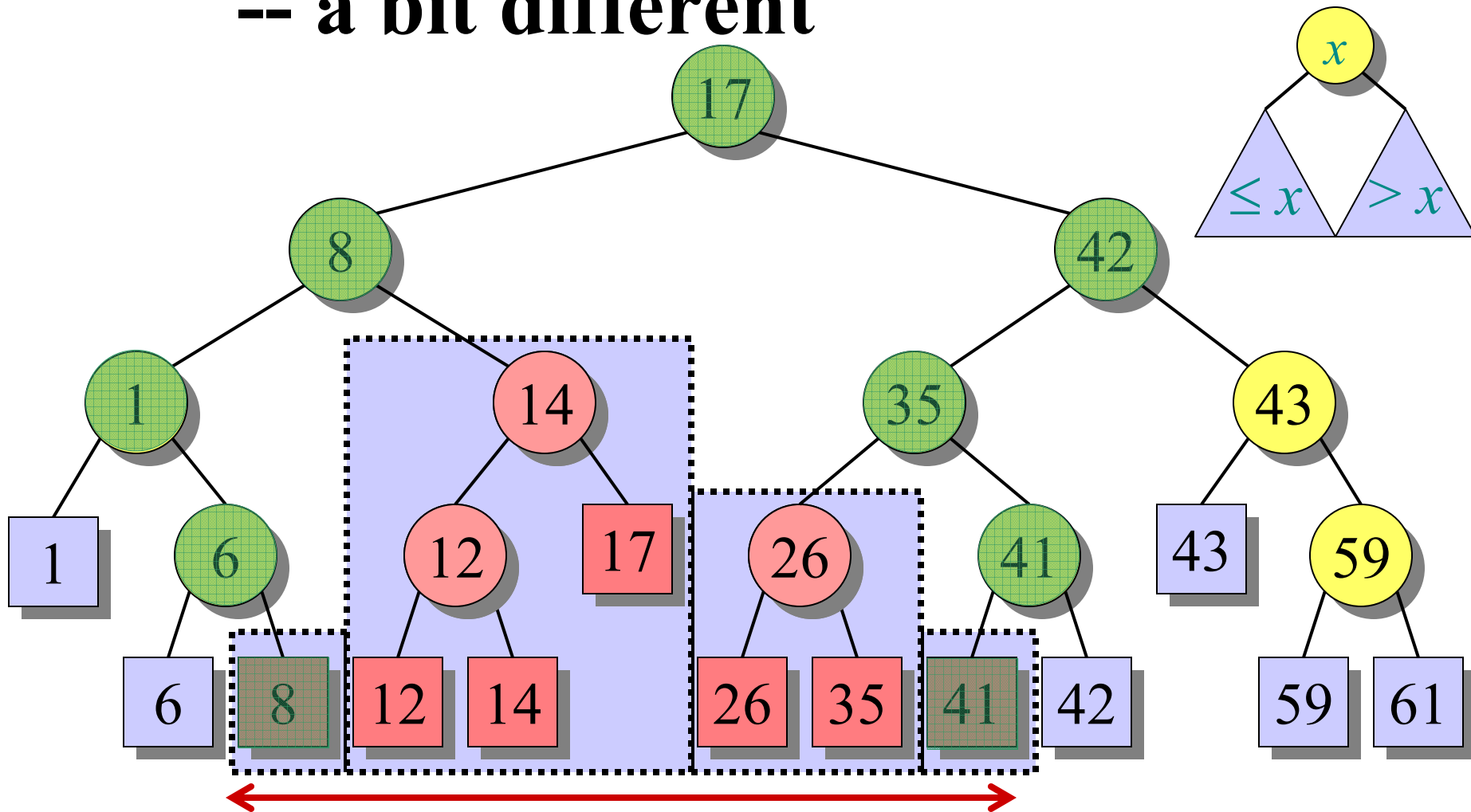


$key[x]$  is the maximum key of any leaf in the left subtree of  $x$ .



# Balanced Binary Search Tree

## -- a bit different



RANGE-QUERY([7, 41])

# Plane Sweep: An Algorithm Design Technique

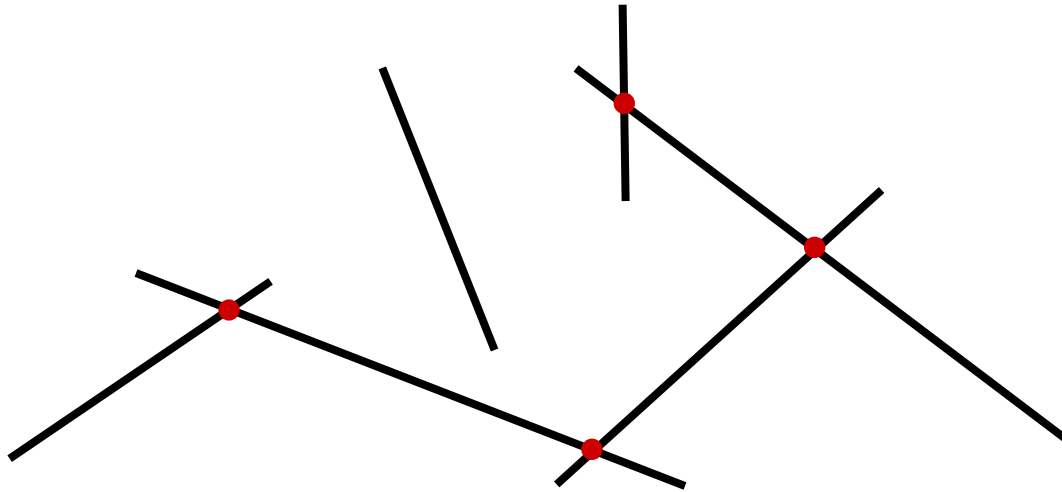
- Plane sweep algorithms (also called sweep line algorithms) are a special kind of incremental algorithms
- Their correctness follows inductively by maintaining the cleanliness property
- *Common* runtimes in the plane are  $O(n \log n)$ :
  - $n$  events are processed
  - Update of sweep line status takes  $O(\log n)$
  - Update of event queue:  $O(\log n)$  per event

# Geometric Intersections

- Important and basic problem in Computational Geometry
- Solid modeling: Build shapes by applying set operations (intersection, union).
- Robotics: Collision detection and avoidance
- Geographic information systems: Overlay two subdivisions (e.g., road network and river network)
- Computer graphics: Ray shooting to render scenes

# Line Segment Intersection

- Input: A set  $S = \{s_1, \dots, s_n\}$  of (closed) line segments in  $\mathbf{R}^2$
- Output: All **intersection points** between segments in  $S$



# Line Segment Intersection

- $n$  line segments can intersect as few as 0 and as many as  $\binom{n}{2} = O(n^2)$  times
- Simple algorithm: Try out all pairs of line segments
  - Takes  $O(n^2)$  time
  - Is optimal in worst case
- Challenge: Develop an **output-sensitive algorithm**
  - Runtime depends on size  $k$  of the output
  - Here:  $0 \leq k \leq c n^2$ , where  $c$  is a constant
  - Our algorithm will have runtime:  $O((n+k) \log n)$
  - Best possible runtime:  $O(n \log n + k)$ 
    - $O(n^2)$  in worst case, but better in general

# Complexity

- Why is runtime  $O(n \log n + k)$  optimal?
- The **element uniqueness** problem requires  $\Omega(n \log n)$  time in algebraic decision tree model of computation (Ben-Or '83)
- **Element uniqueness**: Given  $n$  real numbers, are all of them distinct?
- Solve **element uniqueness** using **line segment intersection**:
  - Take  $n$  numbers, convert into vertical line segments. There is an intersection iff there are duplicate numbers.
  - If we could solve line segment intersection in  $o(n \log n)$  time, i.e., strictly faster than  $\Theta(n \log n)$ , then **element uniqueness** could be solved faster. Contradiction.

# Intersection of two line segments

- Two line segments  $ab$  and  $cd$
- Write in terms of convex combinations:

$$p(s) = (1-s)a + sb \text{ for } 0 \leq s \leq 1$$

$$q(t) = (1-t)c + td \text{ for } 0 \leq t \leq 1$$

Intersection if  $p(s)=q(t)$

⇒ Equation system

$$(1-s)a_x + sb_x = (1-t)c_x + td_x$$

$$(1-s)a_y + sb_y = (1-t)c_y + td_y$$

- Solve for  $s$  and  $t$ . In division, if divisor = 0 then line segments are parallel (or collinear). Otherwise get rational numbers for  $s$  and  $t$ . Either use floating point arithmetic or exact arithmetic.

# Plane sweep algorithm

Algorithm `Generic_Plane_Sweep`:

Initialize **sweep line status**  $S$  at time  $x=-\infty$

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    // handle event:

    Update sweep line status

    Discover new upcoming events and insert them into  $Q$

- **Cleanliness property:**
  - All intersections to the left of sweep line  $l$  have been reported
- **Sweep line status:**
  - Store segments that intersect the sweep line  $l$ , ordered along the intersection with  $l$ .
- **Events:**
  - Points in time when sweep line status changes combinatorially (i.e., the order of segments intersecting  $l$  changes)
    - Endpoints of segments (insert in beginning)
    - Intersection points (compute on the fly during plane sweep)



# General position

Assume that “nasty” special cases don’t happen:

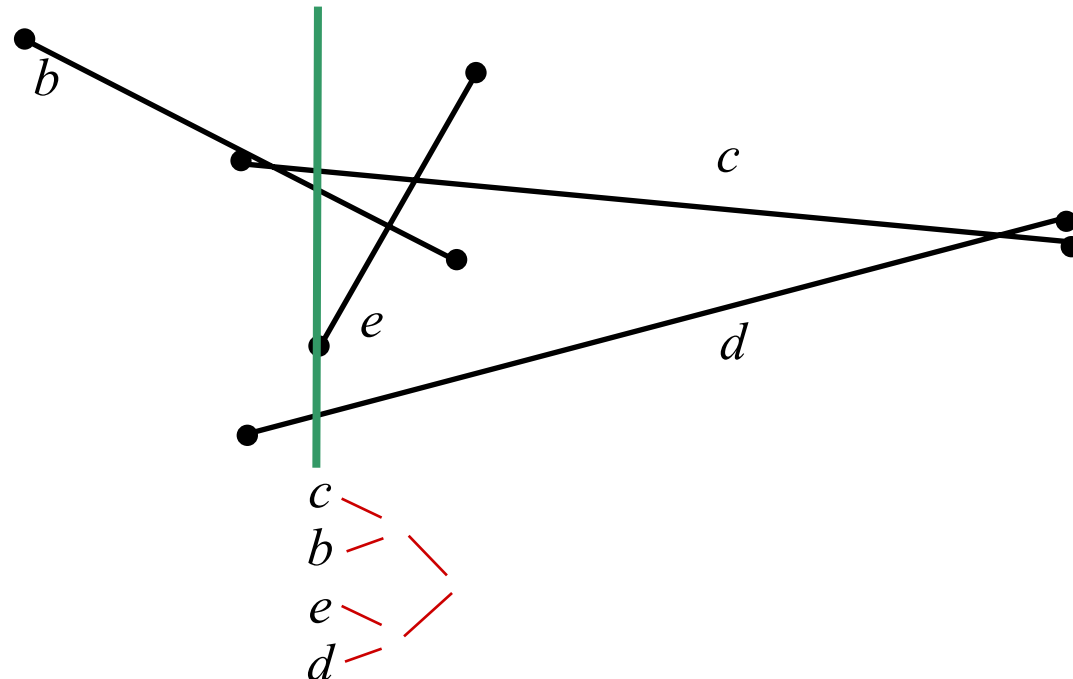
- No line segment is vertical
- Two segments intersect in at most one point
- No three segments intersect in a common point

# Event Queue

- Need to keep events sorted:
    - Lexicographic order (first by  $x$ -coordinate, and if two events have same  $x$ -coordinate then by  $y$ -coordinate)
  - Need to be able to remove next point, and insert new points in  $O(\log n)$  time
  - Need to make sure not to process same event twice
- ⇒ Use a priority queue (heap), and possibly extract multiples
- ⇒ Or, use balanced binary search tree

# Sweep Line Status

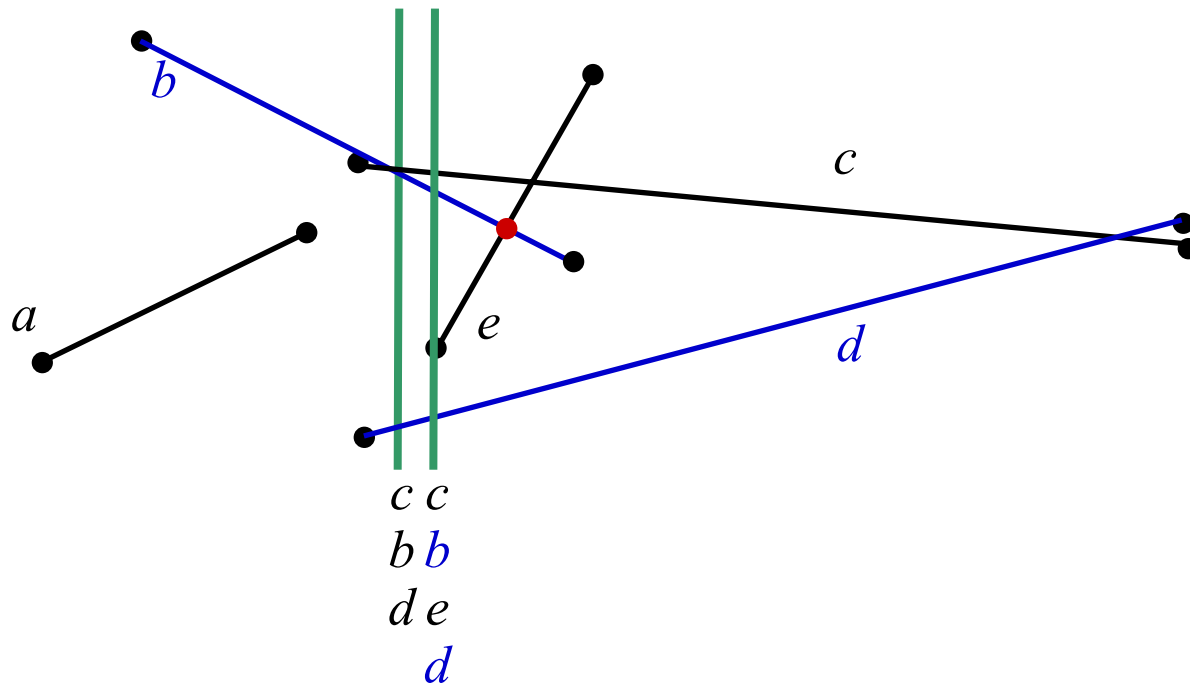
- Store segments that intersect the sweep line  $l$ , ordered along the intersection with  $l$ .
- Need to insert, delete, and find adjacent neighbor in  $O(\log n)$  time
- Use **balanced binary search** tree, storing the order in which segments intersect  $l$  in leaves



# Event Handling

## 1. Left segment endpoint

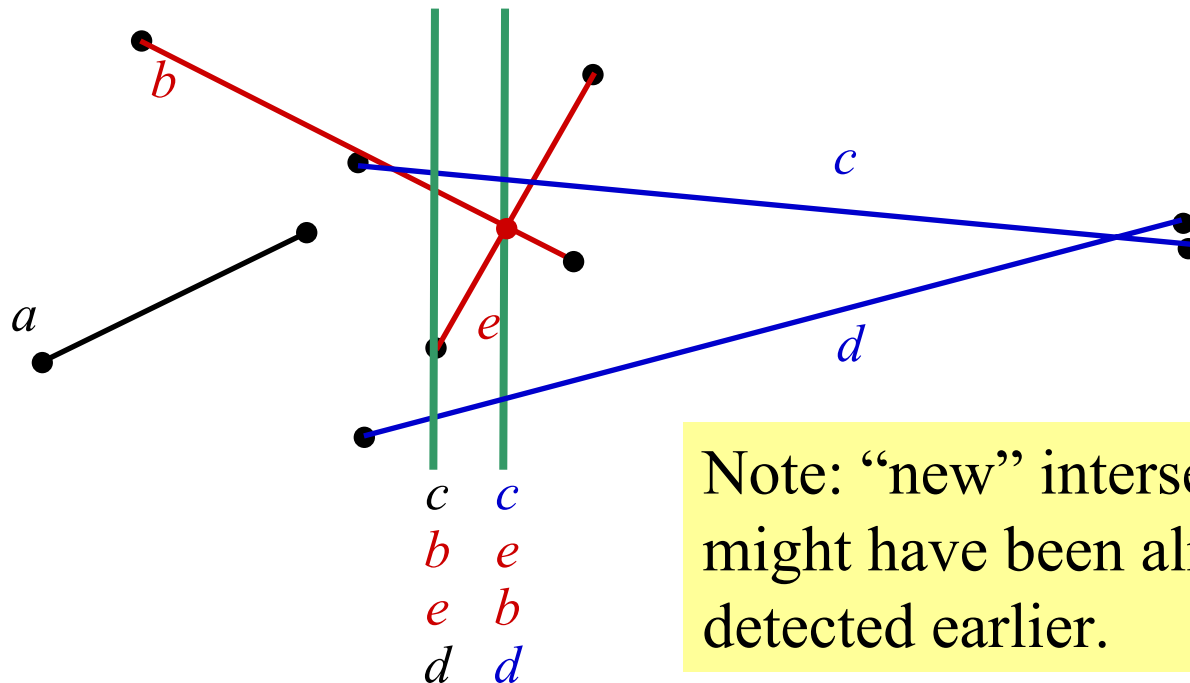
- Add segment to sweep line status
- Test **adjacent segments** on sweep line  $l$  for intersection with new segment (see Lemma)
- Add **new intersection points** to event queue



# Event Handling

## 2. Intersection point

- Report new intersection point
- Two segments **change order** along  $l$   
→ Test **new adjacent segments** for new intersection points (to insert into event queue)

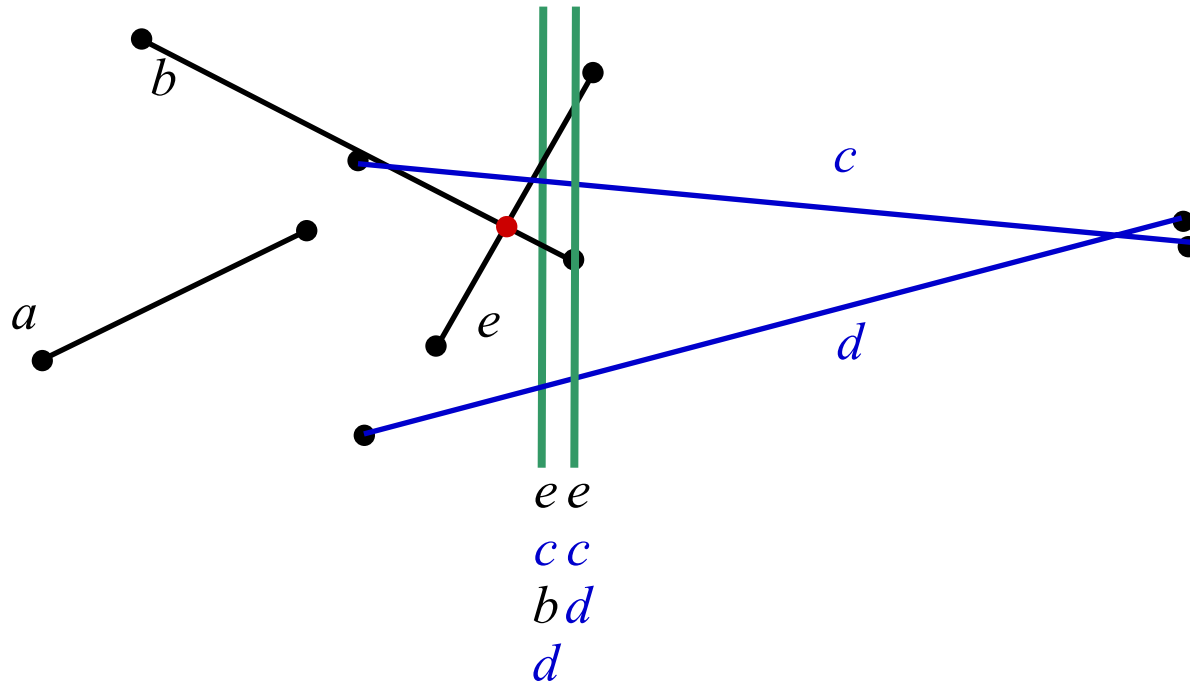


Note: “new” intersection might have been already detected earlier.

# Event Handling

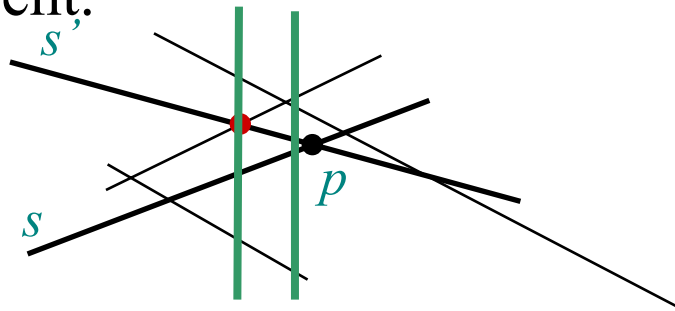
## 3. Right segment endpoint

- Delete segment from sweep line status
- **Two segments become adjacent.** Check for intersection points (to insert in event queue)



# Intersection Lemma

- **Lemma:** Let  $s, s'$  be two non-vertical segments whose interiors intersect in a single point  $p$ . Assume there is no third segment passing through  $p$ . Then there is an event point to the left of  $p$  where  $s$  and  $s'$  become adjacent (and hence are tested for intersection).
- **Proof:** Consider placement of sweep line infinitesimally left of  $p$ .  $s$  and  $s'$  are adjacent along sweep line. Hence there must have been a **previous event point** where  $s$  and  $s'$  become adjacent.



# Runtime

- Sweep line status updates:  $O(\log n)$
- Event queue operations:  $O(\log n)$ , as the total number of stored events is  $\leq 2n + k$ , and each operation takes time

$$O(\log(2n+k)) = O(\log n^2) = O(\log n)$$


$$k = O(n^2)$$

- There are  $O(n+k)$  events. Hence the total runtime is  $O((n+k) \log n)$