

**CS 5633 -- Spring 2008** 



### More Divide & Conquer

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#### Slides courtesy of Charles Leiserson with small changes by Carola Wenk

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# The divide-and-conquer design paradigm

- *1. Divide* the problem (instance) into subproblems.
  - *a* subproblems, each of size *n/b*
- 2. *Conquer* the subproblems by solving them recursively.
- 3. *Combine* subproblem solutions. Runtime is f(n)



### **Example: merge sort**

#### 1. Divide: Trivial.

- 2. Conquer: Recursively sort a=2 subarrays of size n/2=n/b
- 3. *Combine:* Linear-time merge, runtime  $f(n) \in O(n)$





### The master method

The master method applies to recurrences of the form

T(n) = a T(n/b) + f(n),where  $a \ge 1, b > 1$ , and f is asymptotically positive.



### Three common cases

#### Compare f(n) with $n^{\log_b a}$ :

1.  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ .

f(n) grows polynomially slower than n<sup>logba</sup>
 (by an n<sup>ε</sup> factor).

**Solution:**  $T(n) = \Theta(n^{\log_b a})$ .

2. f(n) = Θ(n<sup>logba</sup> log<sup>k</sup>n) for some constant k ≥ 0.
f(n) and n<sup>logba</sup> grow at similar rates.
Solution: T(n) = Θ(n<sup>logba</sup> log<sup>k+1</sup>n).



# Three common cases (cont.)

#### Compare f(n) with $n^{\log_b a}$ :

- 3.  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ .
  - f(n) grows polynomially faster than  $n^{\log_b a}$  (by an  $n^{\varepsilon}$  factor),

and f(n) satisfies the *regularity condition* that  $af(n/b) \le cf(n)$  for some constant c < 1.

**Solution:**  $T(n) = \Theta(f(n))$ .



### Examples

**Ex.** 
$$T(n) = 4T(n/2) + \operatorname{sqrt}(n)$$
  
 $a = 4, b = 2 \Longrightarrow n^{\log_b a} = n^2; f(n) = \operatorname{sqrt}(n).$   
**CASE 1**:  $f(n) = O(n^{2-\varepsilon})$  for  $\varepsilon = 1.5$ .  
 $\therefore T(n) = \Theta(n^2).$ 

**Ex.** 
$$T(n) = 4T(n/2) + n^2$$
  
 $a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n^2.$   
**CASE 2**:  $f(n) = \Theta(n^2 \log^0 n)$ , that is,  $k = 0$ .  
 $\therefore T(n) = \Theta(n^2 \log n).$ 



### Examples

**Ex.** 
$$T(n) = 4T(n/2) + n^3$$
  
 $a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n^3.$   
**CASE 3**:  $f(n) = \Omega(n^{2+\varepsilon})$  for  $\varepsilon = 1$   
and  $4(n/2)^3 \le cn^3$  (reg. cond.) for  $c = 1/2$ .  
 $\therefore T(n) = \Theta(n^3).$ 

**Ex.** 
$$T(n) = 4T(n/2) + n^2/\log n$$
  
 $a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n^2/\log n.$   
Master method does not apply. In particular, for every constant  $\varepsilon > 0$ , we have  $\log n \in o(n^{\varepsilon})$ .



### Master theorem (summary)

T(n) = a T(n/b) + f(n)

**CASE 1:**  $f(n) = O(n^{\log_b a - \varepsilon})$  $\Rightarrow T(n) = \Theta(n^{\log_b a}).$ 

**CASE 2:** 
$$f(n) = \Theta(n^{\log_b a} \log^k n)$$
  
 $\Rightarrow T(n) = \Theta(n^{\log_b a} \log^{k+1} n).$ 

**CASE 3**:  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  and  $af(n/b) \le cf(n)$  $\Rightarrow T(n) = \Theta(f(n))$ .



### Example: merge sort

1. Divide: Trivial. **2.** Conquer: Recursively sort 2 subarrays. **3.** Combine: Linear-time merge. T(n) = 2T(n/2) + O(n) # subproblems subproblem size work dividing and combining $n^{\log_b a} = n^{\log_2 2} = n^1 = n \implies \text{CASE 2} (k = 0)$  $\Rightarrow$   $T(n) = \Theta(n \log n)$ .



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n^{\log_b a} = n^{\log_2 1} = n^0 = 1 \implies \text{CASE 2} (k = 0)
\implies T(n) = \Theta(\log n) .
```



### **Powering a number**

**Problem:** Compute  $a^n$ , where  $n \in \mathbb{N}$ . Naive algorithm:  $\Theta(n)$ .

**Divide-and-conquer algorithm:** (recursive squaring)

$$a^{n} = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

 $T(n) = T(n/2) + \Theta(1) \implies T(n) = \Theta(\log n)$ .



### Fibonacci numbers

#### **Recursive definition:**

 $F_{n} = \begin{cases} 0 & \text{if } n = 0; \\ 1 & \text{if } n = 1; \\ F_{n-1} + F_{n-2} & \text{if } n \ge 2. \end{cases}$ 

### 0 1 1 2 3 5 8 13 21 34 Λ

Naive recursive algorithm:  $\Omega(\phi^n)$ (exponential time), where  $\phi = (1 + \sqrt{5})/2$ is the *golden ratio*.



### **Computing Fibonacci numbers**

#### Naive recursive squaring:

 $F_n = \phi^n / \sqrt{5}$  rounded to the nearest integer.

- Recursive squaring:  $\Theta(\log n)$  time.
- This method is unreliable, since floating-point arithmetic is prone to round-off errors.

#### **Bottom-up** (one-dimensional dynamic programming):

- Compute  $F_0, F_1, F_2, ..., F_n$  in order, forming each number by summing the two previous.
- Running time:  $\Theta(n)$ .



## **Convex Hull**

- Given a set of pins on a pinboard
- And a rubber band around them
- How does the rubber band look when it snaps tight?

• We represent convex hull as the sequence of points on the convex hull polygon, in counter-clockwise order.





### **Convex Hull: Divide & Conquer**

• Preprocessing: sort the points by x-coordinate

• Divide the set of points into two sets A and B:

- A contains the left  $\lfloor n/2 \rfloor$  points,
- **B** contains the right  $\lceil n/2 \rceil$  points

•Recursively compute the convex hull of **A** 



• Merge the two convex hulls



![](_page_16_Picture_0.jpeg)

![](_page_16_Picture_1.jpeg)

#### • Find upper and lower tangent

• With those tangents the convex hull of  $A \cup B$  can be computed from the convex hulls of A and the convex hull of B in O(n) linear time

![](_page_16_Picture_4.jpeg)

![](_page_17_Picture_0.jpeg)

## Finding the lower tangent

![](_page_17_Figure_2.jpeg)

![](_page_18_Picture_0.jpeg)

# **Convex Hull: Runtime**

 Preprocessing: sort the points by xcoordinate

• Divide the set of points into two sets A and B:

- A contains the left  $\lfloor n/2 \rfloor$  points,
- **B** contains the right  $\lceil n/2 \rceil$  points
- •Recursively compute the convex hull of **A**
- •Recursively compute the convex hull of **B**
- Merge the two convex hulls

 $O(n \log n)$  just once

**O**(1)

T(n/2)

T(n/2)

![](_page_19_Picture_0.jpeg)

### **Convex Hull: Runtime**

#### • Runtime Recurrence:

T(n) = 2 T(n/2) + cn

• Solves to  $T(n) = \Theta(n \log n)$ 

![](_page_20_Picture_0.jpeg)

### Matrix multiplication

**Input:**  $A = [a_{ij}], B = [b_{ij}].$ **Output:**  $C = [c_{ij}] = A \cdot B.$  i, j = 1, 2, ..., n.

$$\begin{bmatrix} c_{11} & c_{12} & \Lambda & c_{1n} \\ c_{21} & c_{22} & \Lambda & c_{2n} \\ M & M & O & M \\ c_{n1} & c_{n2} & \Lambda & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \Lambda & a_{1n} \\ a_{21} & a_{22} & \Lambda & a_{2n} \\ M & M & O & M \\ a_{n1} & a_{n2} & \Lambda & a_{nn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \Lambda & b_{1n} \\ b_{21} & b_{22} & \Lambda & b_{2n} \\ M & M & O & M \\ b_{n1} & b_{n2} & \Lambda & b_{nn} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^{n} a_{ik} \cdot b_{kj}$$

![](_page_21_Picture_0.jpeg)

### Standard algorithm

for  $i \leftarrow 1$  to ndo for  $j \leftarrow 1$  to ndo  $c_{ij} \leftarrow 0$ for  $k \leftarrow 1$  to ndo  $c_{ij} \leftarrow c_{ij} + a_{ik} \cdot b_{kj}$ Running time =  $\Theta(n^3)$ 

![](_page_22_Picture_0.jpeg)

# **Divide-and-conquer algorithm**

#### **IDEA:** $n \times n$ matrix = 2×2 matrix of $(n/2) \times (n/2)$ submatrices:

$$\begin{bmatrix} r & s \\ -+- \\ t & u \end{bmatrix} = \begin{bmatrix} a & b \\ -+- \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ --- \\ g & h \end{bmatrix}$$
$$C = A \cdot B$$

$$r = a \cdot e + b \cdot g$$
  

$$s = a \cdot f + b \cdot h$$
  

$$t = c \cdot e + d \cdot h$$
  

$$u = c \cdot f + d \cdot g$$

8 recursive mults of  $(n/2) \times (n/2)$  submatrices 4 adds of  $(n/2) \times (n/2)$  submatrices

![](_page_23_Figure_0.jpeg)

#### No better than the ordinary algorithm.

![](_page_24_Picture_0.jpeg)

### Strassen's idea

• Multiply 2×2 matrices with only 7 recursive mults.

$$P_{1} = a \cdot (f - h)$$

$$P_{2} = (a + b) \cdot h$$

$$P_{3} = (c + d) \cdot e$$

$$P_{4} = d \cdot (g - e)$$

$$P_{5} = (a + d) \cdot (e + h)$$

$$P_{6} = (b - d) \cdot (g + h)$$

$$P_{7} = (a - c) \cdot (e + f)$$

$$r = P_{5} + P_{4} - P_{2} + P_{6}$$
  

$$s = P_{1} + P_{2}$$
  

$$t = P_{3} + P_{4}$$
  

$$u = P_{5} + P_{1} - P_{3} - P_{7}$$

7 mults, 18 adds/subs. **Note:** No reliance on commutativity of mult!

![](_page_25_Picture_0.jpeg)

### Strassen's idea

• Multiply  $2 \times 2$  matrices with only 7 recursive mults.

$$P_{1} = a \cdot (f - h)$$

$$P_{2} = (a + b) \cdot h$$

$$P_{3} = (c + d) \cdot e$$

$$P_{4} = d \cdot (g - e)$$

$$P_{5} = (a + d) \cdot (e + h)$$

$$P_{6} = (b - d) \cdot (g + h)$$

$$P_{7} = (a - c) \cdot (e + f)$$

$$r = P_5 + P_4 - P_2 + P_6$$
  
=  $(a + d)(e + h)$   
+  $d(g - e) - (a + b)h$   
+  $(b - d)(g + h)$   
=  $ae + ah + de + dh$   
+  $dg - de - ah - bh$   
+  $bg + bh - dg - dh$   
=  $ae + bg$ 

![](_page_26_Picture_0.jpeg)

# Strassen's algorithm

- **1.** *Divide:* Partition *A* and *B* into  $(n/2) \times (n/2)$  submatrices. Form *P*-terms to be multiplied using + and -.
- 2. Conquer: Perform 7 multiplications of  $(n/2) \times (n/2)$  submatrices recursively.
- 3. Combine: Form C using + and on  $(n/2) \times (n/2)$  submatrices.

$$T(n) = 7 T(n/2) + \Theta(n^2)$$

![](_page_27_Picture_0.jpeg)

### **Analysis of Strassen**

 $T(n) = 7 T(n/2) + \Theta(n^2)$ 

 $n^{\log_b a} = n^{\log_2 7} \approx n^{2.81} \implies \text{CASE 1} \implies T(n) = \Theta(n^{\log 7}).$ 

The number 2.81 may not seem much smaller than 3, but because the difference is in the exponent, the impact on running time is significant. In fact, Strassen's algorithm beats the ordinary algorithm on today's machines for  $n \ge 30$  or so.

**Best to date** (of theoretical interest only):  $\Theta(n^{2.376\Lambda})$ .

![](_page_28_Picture_0.jpeg)

### Conclusion

- Divide and conquer is just one of several powerful techniques for algorithm design.
- Divide-and-conquer algorithms can be analyzed using recurrences and the master method (so practice this math).
- Can lead to more efficient algorithms