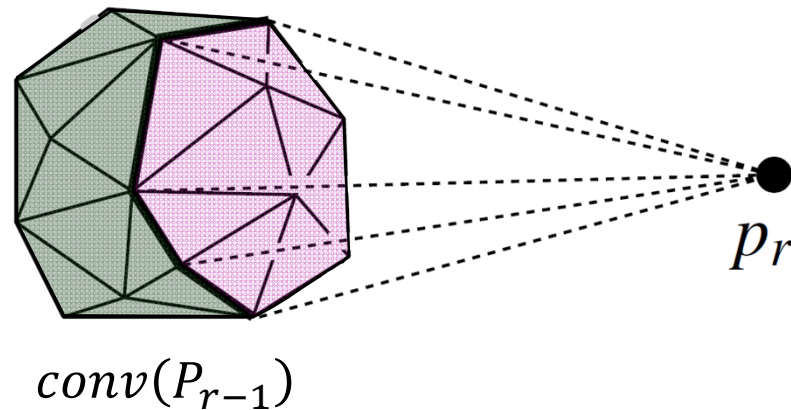


# CMPS 6640/4040 Computational Geometry

## Spring 2016

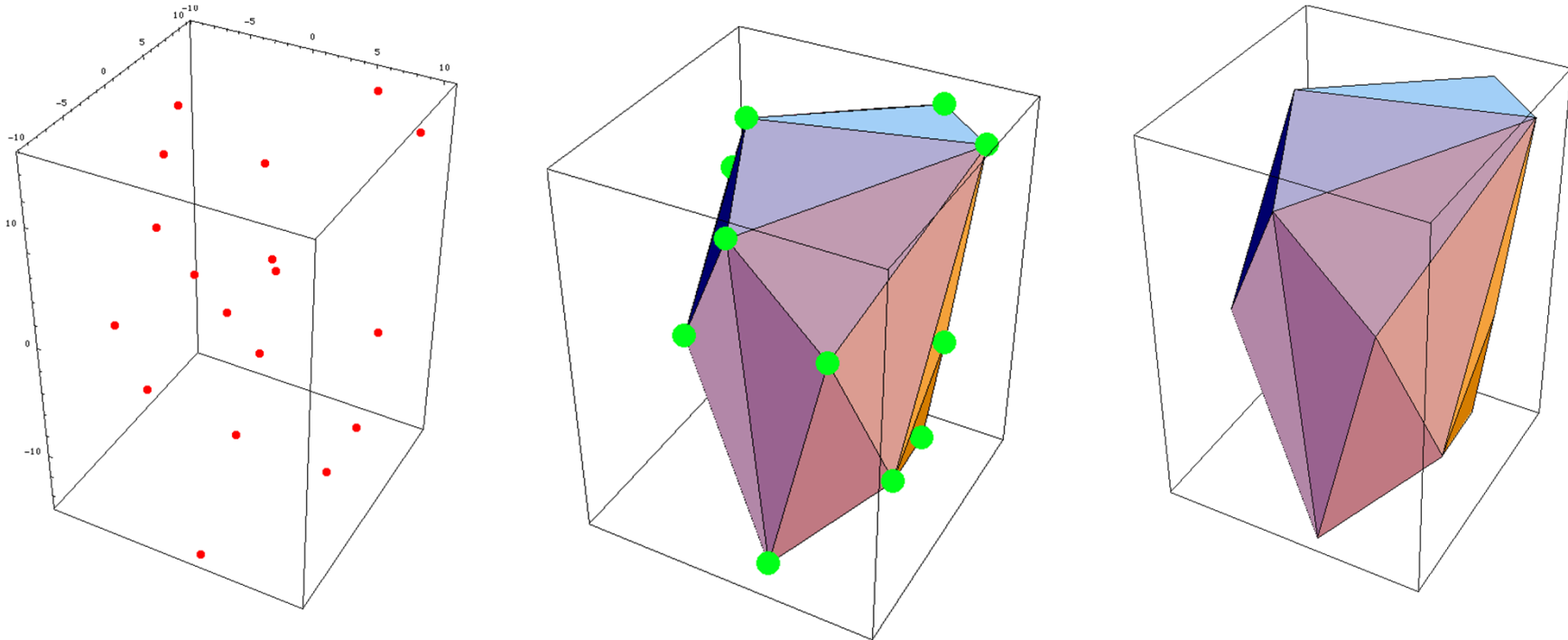


## *3D Convex Hulls*

**Carola Wenk**

(based on BCKO)

# 3D CH: Problem Statement



Images from <http://xlr8r.info/>

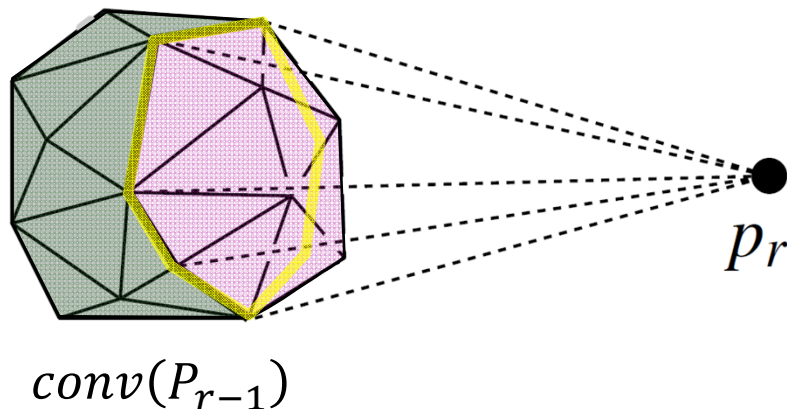
- Given a set  $P = \{p_1, \dots, p_n\} \subseteq \mathbb{R}^3$ , compute  $\text{conv}(P)$
- Use a DCEL to represent the boundary  $\partial \text{conv}(P)$

# Clarkson & Shor's Randomized Incremental Construction (RIC)

1. Choose 4 points in  $P$  that do not lie in a common plane.  
(Otherwise apply planar CH algorithm.)  
Wlog, let these points be  $p_1, p_2, p_3, p_4$
2. Let  $p_5, \dots, p_n$  be a random permutation of the remaining points in  $P$ .
3. Define  $P_r = \{p_1, \dots, p_r\}$  for  $r \geq 1$   
**for**  $r = 5; r \leq n; r++$   
    Compute  $\text{conv}(P_r)$  by inserting  $p_r$  into  $\text{conv}(P_{r-1})$

# Visible and Invisible Regions

- If  $p_r \in \text{conv}(P_{r-1})$ , then  $\text{conv}(P_r) = \text{conv}(P_{r-1})$
- Now, consider the other case  $p_r \notin \text{conv}(P_{r-1})$

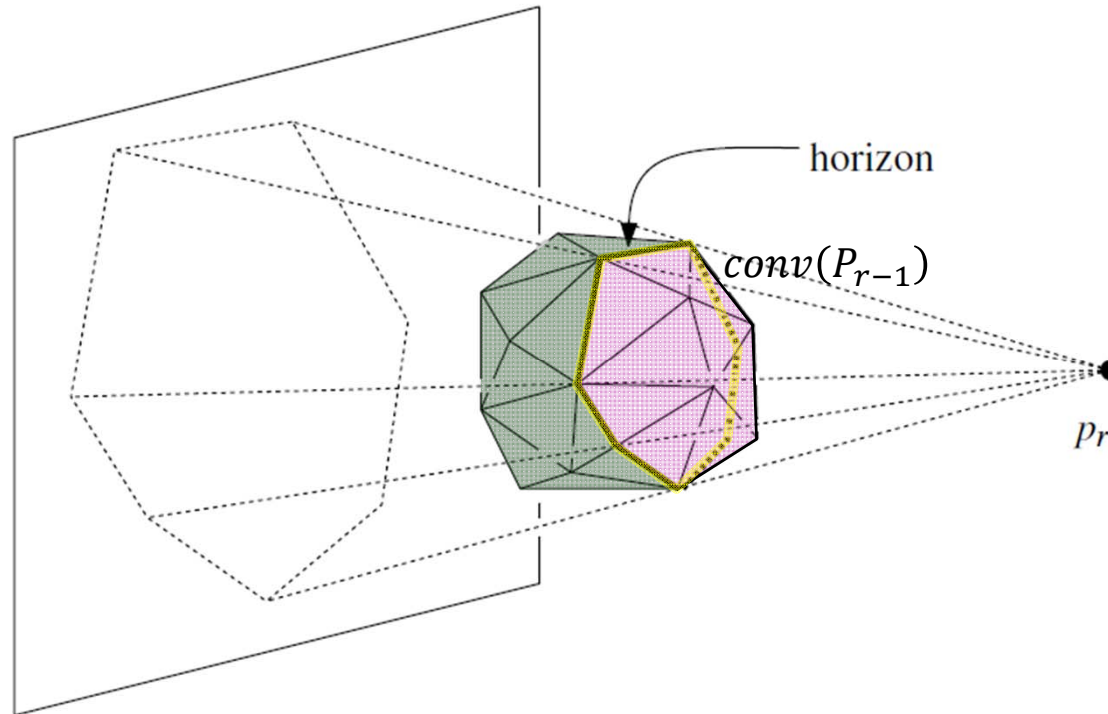


Look at  $\text{conv}(P_{r-1})$   
from  $p_r$   
 $\Rightarrow$  *visible region* and  
*invisible region*

The visible region and invisible region are connected regions on  $\text{conv}(P_{r-1})$ , separated by the *horizon*.

The horizon is a closed curve consisting of edges on  $\text{conv}(P_{r-1})$ .

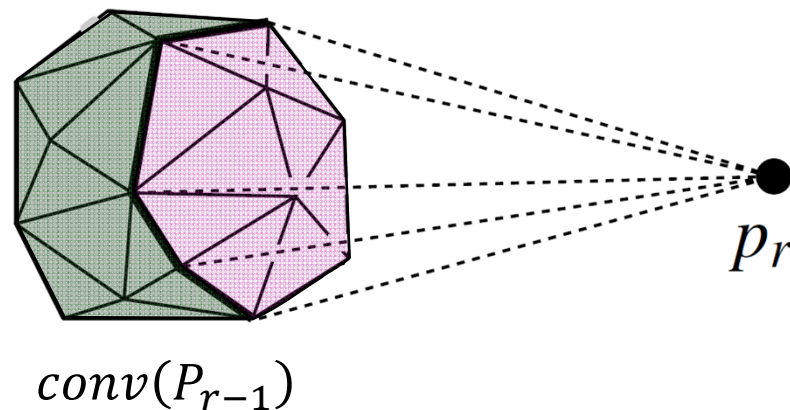
# Horizon



Project  $conv(P_{r-1})$  onto a plane with  $p_r$  as the center of projection.

$\Rightarrow$  Convex polygon that “equals” the horizon.

# Visibility

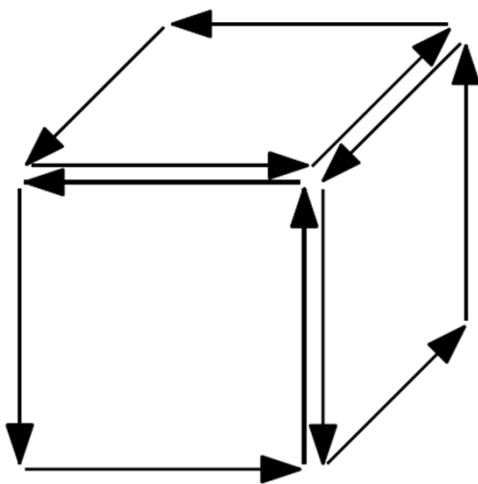


A facet  $f$  on  $conv(P_{r-1})$  is *visible* from  $p_r$   
: $\Leftrightarrow p_r$  lies in  $h_f^+$ , where  $h_f$  is the plane containing  $f$ ,  
and  $h_f^+$  is the open halfspace that does not contain  
 $conv(P_{r-1})$

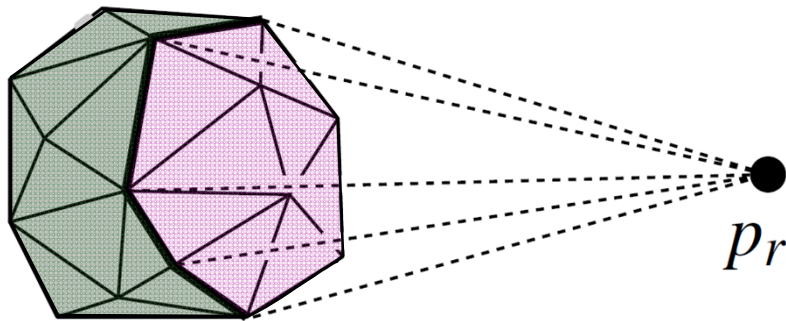
# Storage Data Structure

Store the boundary of  $\text{conv}(P)$ , and of all intermediate  $\text{conv}(P_r)$ , as a DCEL. The vertices are 3D points.

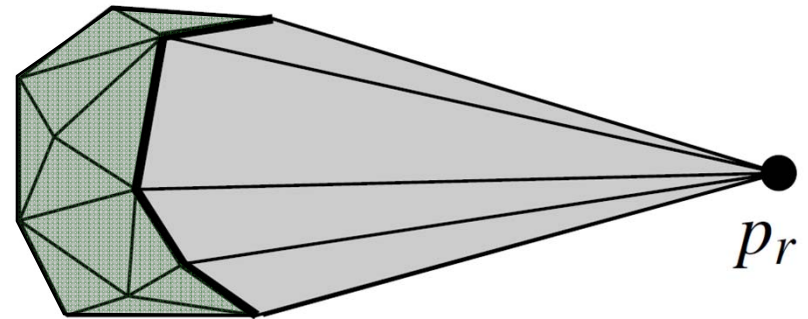
Wlog, half-edges bounding a face that is seen from the outside of the polytope form a counter-clockwise cycle.



# Compute $\text{conv}(P_r)$ from $\text{conv}(P_{r-1})$



$\text{conv}(P_{r-1})$



$\text{conv}(P_r)$

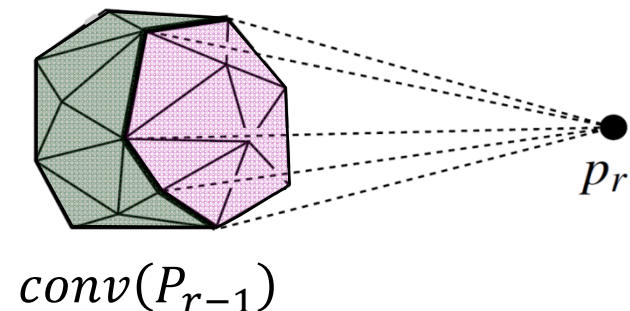
- Keep **invisible** facets
- Replace **visible** facets  
⇒ How to find all visible facets in time linear to their number?  
( $O(r - 1)$  time is trivial but leads to an  $O(n^2)$  algorithm.)



# Conflict/Visibility Lists

Maintain conflict lists for each  $f$  on  $\text{conv}(P_{r-1})$  and  $p_t$  for  $t > r$ :

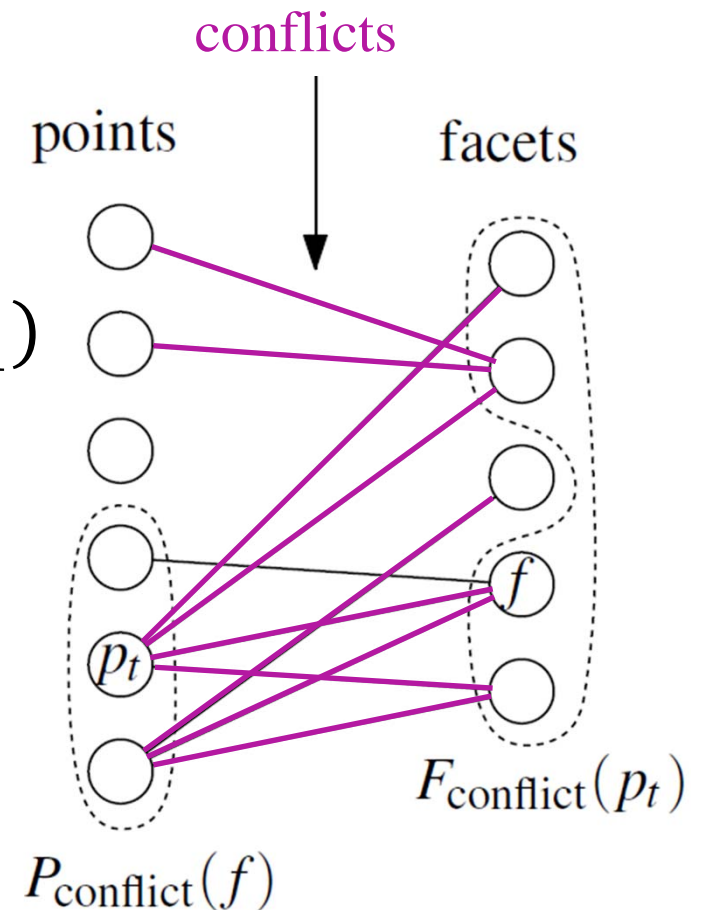
- $P_{\text{conflict}}(f) \subseteq \{p_r, \dots, p_n\}$  consists of all points that can see  $f$
- $F_{\text{conflict}}(p_t)$  consists of all facets of  $\text{conv}(P_{r-1})$  visible from  $p_t$
- $p \in P_{\text{conflict}}(f)$  is in conflict with  $f$  because  $p$  and  $f$  cannot be part of the same convex hull



# Conflict Graph

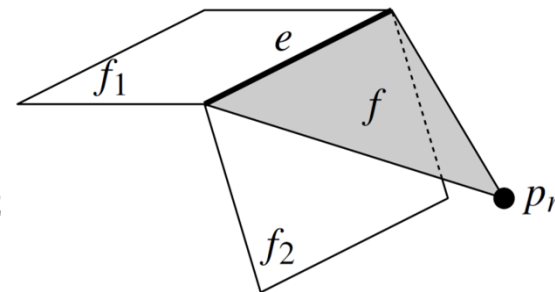
Store all conflict lists in the conflict graph:

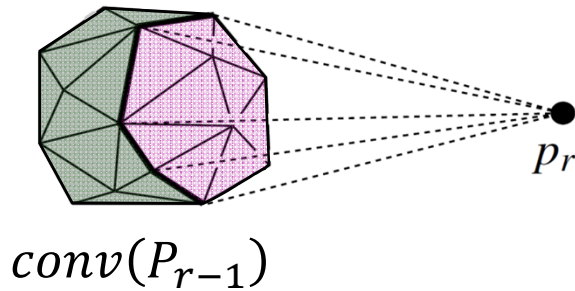
- Bipartite graph
- Node for every point in  $P$  that is not inserted yet
- Node for every facet of  $\text{conv}(P_{r-1})$
- Arc between  $f$  and  $p$  if  $f$  is *in conflict with* (i.e., *visible* from)  $p$



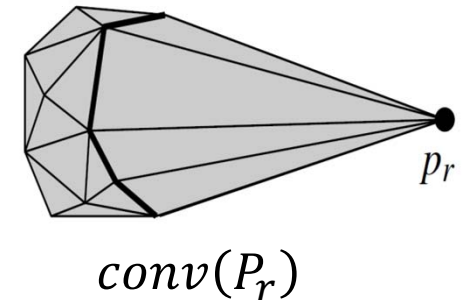
# Maintaining the Conflict Graph

- Initialize conflict graph  $G$  for  $\text{conv}(P_4)$  in linear time
  - Update  $G$  after adding  $p_r$ :
    - Discard from  $G$ :
      - All neighbors of  $p_r$  in  $G$ . These are the facets visible from  $p_r$ .
      - $p_r$
    - Insert nodes in  $G$  for newly created facets (those facets which connect  $p_r$  to the horizon)
    - Find conflict lists for each newly created facet  $f$ :
      - A point  $p_t$  that sees  $f$  must also see  $e$
      - But then  $p_t$  must have seen one of the faces  $f_1$  or  $f_2$  incident to  $e$  in  $\text{conv}(P_{r-1})$
- $\Rightarrow$  Test all points in the conflict lists of  $f_1$  and  $f_2$





# Algorithm (part 1)

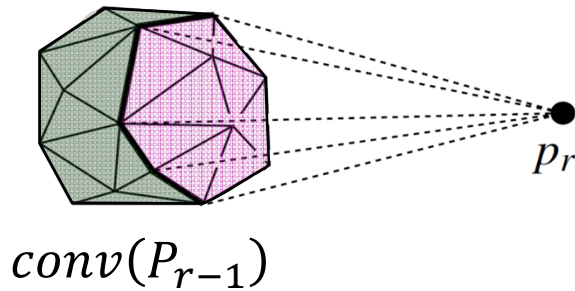


## Algorithm CONVEXHULL( $P$ )

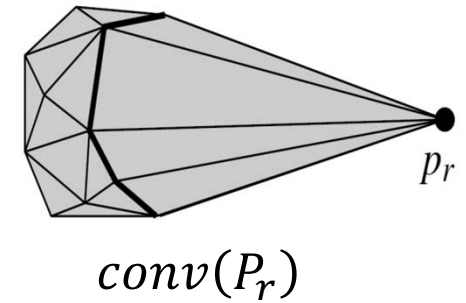
*Input.* A set  $P$  of  $n$  points in three-space.

*Output.* The convex hull  $\mathcal{CH}(P)$  of  $P$ .

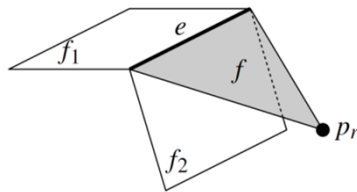
1. Find four points  $p_1, p_2, p_3, p_4$  in  $P$  that form a tetrahedron.
2.  $\mathcal{C} \leftarrow \mathcal{CH}(\{p_1, p_2, p_3, p_4\})$
3. Compute a random permutation  $p_5, p_6, \dots, p_n$  of the remaining points.
4. Initialize the conflict graph  $\mathcal{G}$  with all visible pairs  $(p_t, f)$ , where  $f$  is a facet of  $\mathcal{C}$  and  $t > 4$ .
5. **for**  $r \leftarrow 5$  **to**  $n$
6.     **do** (\* Insert  $p_r$  into  $\mathcal{C}$ : \*)
7.         **if**  $F_{\text{conflict}}(p_r)$  is not empty (\* that is,  $p_r$  lies outside  $\mathcal{C}$  \*)
8.             **then** Delete all facets in  $F_{\text{conflict}}(p_r)$  from  $\mathcal{C}$ .
9.             Walk along the boundary of the visible region of  $p_r$  (which consists exactly of the facets in  $F_{\text{conflict}}(p_r)$ ) and create a list  $\mathcal{L}$  of horizon edges in order.
10.             **for** all  $e \in \mathcal{L}$
11.                 **do** Connect  $e$  to  $p_r$  by creating a triangular facet  $f$ .
12.                 **if**  $f$  is coplanar with its neighbor facet  $f'$  along  $e$
13.                     **then** Merge  $f$  and  $f'$  into one facet, whose conflict list is the same as that of  $f'$ .



# Algorithm (part 2)



- 14.
- 15.
- 16.
- 17.
- 18.
- 19.
- 20.
21. **return**  $\mathcal{C}$



**else** (\* Determine conflicts for  $f$ : \*)

Create a node for  $f$  in  $\mathcal{G}$ .

Let  $f_1$  and  $f_2$  be the facets incident to  $e$  in the old convex hull.

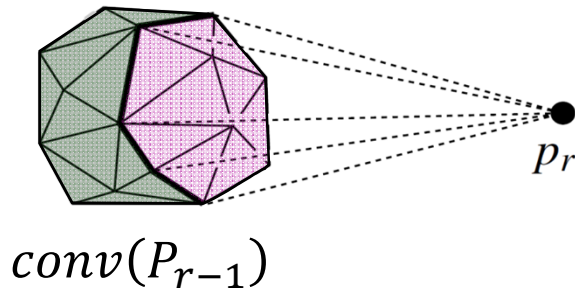
$P(e) \leftarrow P_{\text{conflict}}(f_1) \cup P_{\text{conflict}}(f_2)$

**for** all points  $p \in P(e)$

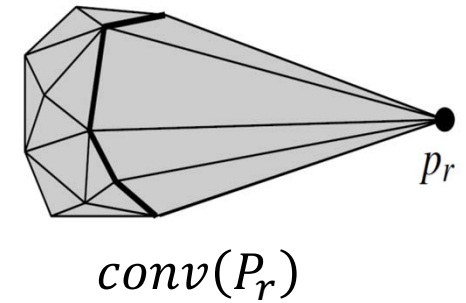
**do** If  $f$  is visible from  $p$ , add  $(p, f)$  to  $\mathcal{G}$ .

Delete the node corresponding to  $p_r$  and the nodes corresponding to the facets in  $F_{\text{conflict}}(p_r)$  from  $\mathcal{G}$ , together with their incident arcs.





# Algorithm (part 1)



## Algorithm CONVEXHULL( $P$ )

*Input.* A set  $P$  of  $n$  points in three-space.

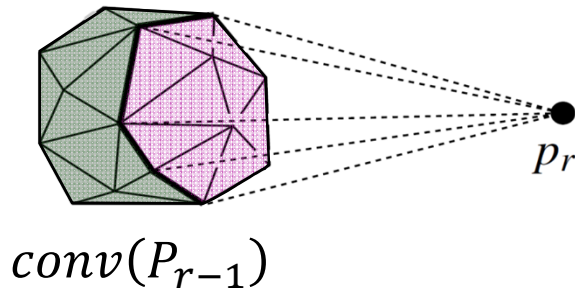
*Output.* The convex hull  $\mathcal{CH}(P)$  of  $P$ .

$O(n)$

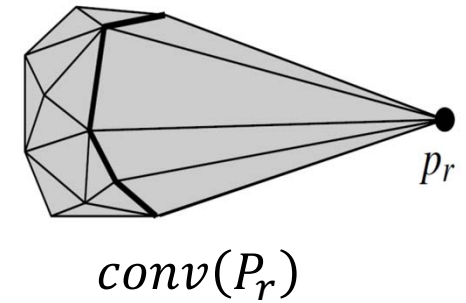
1. Find four points  $p_1, p_2, p_3, p_4$  in  $P$  that form a tetrahedron.
2.  $\mathcal{C} \leftarrow \mathcal{CH}(\{p_1, p_2, p_3, p_4\})$
3. Compute a random permutation  $p_5, p_6, \dots, p_n$  of the remaining points.
4. Initialize the conflict graph  $\mathcal{G}$  with all visible pairs  $(p_t, f)$ , where  $f$  is a facet of  $\mathcal{C}$  and  $t > 4$ .
5. **for**  $r \leftarrow 5$  **to**  $n$
6.     **do** (\* Insert  $p_r$  into  $\mathcal{C}$ : \*)
7.         **if**  $F_{\text{conflict}}(p_r)$  is not empty (\* that is,  $p_r$  lies outside  $\mathcal{C}$  \*)
8.             **then** Delete all facets in  $F_{\text{conflict}}(p_r)$  from  $\mathcal{C}$ .
9.             Walk along the boundary of the visible region of  $p_r$  (which consists exactly of the facets in  $F_{\text{conflict}}(p_r)$ ) and create a list  $\mathcal{L}$  of horizon edges in order.
10.             **for** all  $e \in \mathcal{L}$
11.                 **do** Connect  $e$  to  $p_r$  by creating a triangular facet  $f$ .
12.                     **if**  $f$  is coplanar with its neighbor facet  $f'$  along  $e$
13.                         **then** Merge  $f$  and  $f'$  into one facet, whose conflict list is the same as that of  $f'$ .

$O(|F_{\text{conflict}}(p_r)|)$

Face can only be deleted if it has been created.  
→ Delete at most once



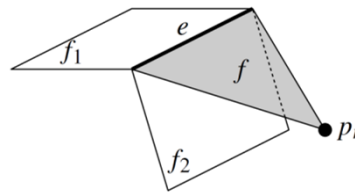
# Algorithm (part 2)



$$O\left(\sum_{e \in \mathcal{L}} |P(e)|\right)$$

Charge to node  
and arc creation

- 14.
- 15.
- 16.
- 17.
- 18.
- 19.
- 20.
21. **return**  $\mathcal{C}$



**else** (\* Determine conflicts for  $f$ : \*)

Create a node for  $f$  in  $\mathcal{G}$ .

Let  $f_1$  and  $f_2$  be the facets incident to  $e$  in the old convex hull.

$P(e) \leftarrow P_{\text{conflict}}(f_1) \cup P_{\text{conflict}}(f_2)$

**for** all points  $p \in P(e)$

**do** If  $f$  is visible from  $p$ , add  $(p, f)$  to  $\mathcal{G}$ .

Delete the node corresponding to  $p_r$  and the nodes corresponding to the facets in  $F_{\text{conflict}}(p_r)$  from  $\mathcal{G}$ , together with their incident arcs.

Need to bound:

$O(n)$  •  $E\left(\sum_{r=5}^n |F_{\text{conflict}}(p_r)|\right)$

$O(n \log n)$  •  $E\left(\sum_e |P(e)|\right)$  where the summation is over all horizon edges that ever appear during the algorithm  
proof in book

# Backwards Analysis

**Lemma:** The expected number of facets created by the algorithm,  $E(\sum_{r=1}^n |F_{\text{conflict}}(p_r)|)$ , is at most  $6n - 20$ .

**Proof:**  $|F_{\text{conflict}}(p_r)|$

= # facets connecting  $p_r$  to its horizon on  $\text{conv}(P_{r-1})$   
 (these are the newly created facets)

= # facets that disappear when removing  $p_r$  from  $\text{conv}(P_r)$

=:  $\deg(p_r, \text{conv}(P_r))$       degree of  $p_r$  in  $\text{conv}(P_r)$

$$\Rightarrow E(\deg(p_r, \text{conv}(P_r))) = \frac{1}{r-4} \sum_{i=5}^r \deg(p_i, \text{conv}(P_r))$$

$$\leq \frac{1}{r-4} [(\sum_{i=1}^r \deg(p_i, \text{conv}(P_r))) - 12]$$

Total degree of  $p_1, \dots, p_4$  is at least 12

$$\leq \frac{1}{r-4} [2(3r - 6) - 12] = \frac{6r - 12 - 12}{r-4} = 6$$

Thus  $4 + E(\sum_{r=5}^n |F_{\text{conflict}}(p_r)|) \leq 4 + 6(n - 4) = 6n - 20$

□



# Convex Hull Runtime

- **Theorem:** The convex hull of a set of  $n$  points in  $\mathbb{R}^3$  can be computed in randomized expected  $O(n \log n)$  time.
- **Theorem (higher dimensions):** The complexity of the convex hull of  $n$  points in  $\mathbb{R}^d$  is  $\Theta(n^{\lfloor d/2 \rfloor})$ . It can be computed in randomized expected  $O(n^{\lfloor d/2 \rfloor} + n \log n)$  time.