## CMPS 3130/6130 Computational Geometry Spring 2015



# Orthogonal Range Searching II <br> Carola Wenk 

## Orthogonal range searching

## Input: A set $P$ of $n$ points in $d$ dimensions

Task: Process P into a data structure that allows fast orthogonal range queries. Given an axis-aligned box (in 2D, a rectangle)

- Report on the points inside the box:
- Are there any points?
- How many are there?
- List the points.



## Orthogonal range searching: KD-trees

Let us start in 2D:
Input: A set $P$ of $n$ points in 2 dimensions
Task: Process $P$ into a data structure that allows fast 2D orthogonal range queries: Report all points in $P$ that lie in the query rectangle $\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right]$


## KD trees

Idea: Recursively split $P$ into two sets of the same size, alternatingly along a vertical or horizontal line through the median in $x$ - or $y$-coordinates.


## BuildKDTree

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## Algorithm BuildKdTree $(P$, depth $)$

Input. A set of points $P$ and the current depth depth.
Output. The root of a kd-tree storing $P$.

1. if $P$ contains only one point
2. then return a leaf storing this point
3. else if depth is even
4. then Split $P$ into two subsets with a vertical line $\ell$ through the median $x$-coordinate of the points in $P$. Let $P_{1}$ be the set of points to the left of $\ell$ or on $\ell$, and let $P_{2}$ be the set of points to the right of $\ell$.
5. 

else Split $P$ into two subsets with a horizontal line $\ell$ through the median $y$-coordinate of the points in $P$. Let $P_{1}$ be the set of points below $\ell$ or on $\ell$, and let $P_{2}$ be the set of points above $\ell$.
6. $\quad v_{\text {left }} \leftarrow \operatorname{BuildKdTreE}\left(P_{1}\right.$, depth +1$)$
7. $\quad v_{\text {right }} \leftarrow \operatorname{BuildKdTrEE}\left(P_{2}\right.$, depth +1$)$
8. Create a node $v$ storing $\ell$, make $v_{\text {left }}$ the left child of $v$, and make $v_{\text {right }}$ the right child of $v$.
9. return $v$


## BuildKDTree Analysis

- Sort $P$ separately by $x$ - and $y$-coordinate in advance
- Use these two sorted lists to find the median
- Pass sorted lists into the recursive calls
- Runtime:

$$
\begin{aligned}
& T(n)= \begin{cases}O(1) & , n=1 \\
O(n)+2 T\left(\frac{n}{2}\right), n>1\end{cases} \\
& =O(n \log n)
\end{aligned}
$$

- Storage: $\mathrm{O}(n)$, because it is a binary tree on $n$ leaves


## Regions



- lc(v)=left_child(v)
- region $(\mathrm{lc}(\mathrm{v}))=$ region $(\mathrm{v}) \cap \mathrm{l}(\mathrm{v})^{\text {left }}$
$\Rightarrow$ Can be computed on the fly in constant time


## SearchKDTree

Algorithm SEARCHKDTREE $(v, R)$
Input. The root of (a subtree of) a kd-tree, and a range $R$. Output. All points at leaves below $v$ that lie in the range.

1. if $v$ is a leaf
2. then Report the point stored at $v$ if it lies in $R . I_{8}$
3. else if region $(l c(v))$ is fully contained in $R$
4. 
5. 
6. 
7. 
8. 
9. 
10. 

then REPORTSUBTREE $(l c(v))$
else if region $(l c(v))$ intersects $R$
then SEARChKDTreE $(l c(v), R)$
if $\operatorname{region}(r c(v))$ is fully contained in $R$
then REportSubtree $(r c(v))$
else if region $(r c(v))$ intersects $R$
then $\operatorname{SEARCHKDTREE}(r c(v), R)$


## How many nodes does a search touch?



## SearchKDTree Analysis

Theorem: A kd-tree for a set of n points in the plane can be constructed in $\mathrm{O}(n \log n)$ time and uses $\mathrm{O}(n)$ space. A rectangular range query can be answered in $O(\sqrt{n}+k)$ time, where $k=$ \# reported points.
(Generalization to $d$ dimensions: Also $\mathrm{O}(n \log n)$
construction time and $\mathrm{O}(n)$ space, but $O\left(n^{1-\frac{1}{d}}+k\right)$ query time.)

## SearchKDTree Analysis

## Proof Sketch:

- Sum of \# visited vertices in ReportSubtree is $\mathrm{O}(\mathrm{k})$
- \# visited vertices that are not in one of the reported subtrees = O(\# regions(v) intersected by a query line)
$\Rightarrow$ Consider intersections with a vertical line only. Let $Q(n)=$ \# intersected regions in kd-tree of $n$ points whose root contains a vertical splitting line
$\Rightarrow Q(\mathrm{n})=2+2 Q(n / 4)$, for $n>1$
$\Rightarrow Q(\mathrm{n})=\mathrm{O}(\sqrt{n})$



## Summary Orthogonal Range Searching

## Range trees

Query time: $\mathrm{O}\left(k+\log ^{d-1} n\right)$ to report $k$ points
(uses fractional cascading in the last dimension)
Space: $O\left(n \log ^{d-1} n\right)$
Preprocessing time: $\mathrm{O}\left(n \log ^{d-1} n\right)$

## KD-trees

Query time: $O\left(n^{1-\frac{1}{d}}+k\right)$ to report $k$ points Space: $\mathrm{O}(n)$
Preprocessing time: $\mathrm{O}(n \log n)$

